

# **Anti-Money Laundering Seminars for Designated Non-Financial Businesses and Professions**

*Designated Non-Financial Businesses and Professions -  
Accountants, Estate Agents, Lawyers, Trust and Company Service Providers*

## **Theme**

Suspicious Transaction Reporting and Compliance Culture

## **Purpose**

The Narcotics Division will organize a series of anti-money laundering seminars for Designated Non-Financial Businesses and Professions (DNFBPs) in March 2010. The objectives of the seminars are:

- To provide DNFBPs with an overview of the latest international and domestic anti-money laundering developments
- To enhance their understanding of the statutory obligations and practices of suspicious transaction reporting
- To provide a forum for discussion on matters of common concern relating to anti-money laundering compliance and reporting

## **Co-Organizers**

The seminars are jointly organized with:

Estate Agents Authority  
Hong Kong Institute of Certified Public Accountants  
The Hong Kong Institute of Chartered Secretaries  
Joint Financial Intelligence Unit  
The Law Society of Hong Kong

## **Who should attend**

- Accountants
- Estate Agents
- Lawyers
- Trust and Company Service Providers

## Distribution and Language of Sessions

Date	A.M. Session	P.M. Session
<b>2 March 2010 (Tue)</b>	<b>Trust and Company Service Providers (Cantonese)</b>	<b>Estate Agents (Cantonese)</b>
<b>3 March 2010 (Wed)</b>	<b>Nil</b>	<b>Lawyers (English)</b>
<b>4 March 2010 (Thu)</b>	<b>Accountants (English)</b>	<b>Accountants (Cantonese)</b>

## Programmes and Speakers

### Trust and Company Service Providers – 2<sup>nd</sup> March 2010 a.m. (Cantonese)

Time	Topic	Speaker
0830 – 0900	Registration & be seated	
0900 – 0910	Opening Address	Mrs Natalia Seng Past President of HKICS & Executive Director of Tricor
0910 – 0940	International Standards on Regulating DNFBPs and the Way Forward	Mr Peter Kwok Assistant Secretary, Narcotics Division, Security Bureau
0940 – 1010	Suspicious Transaction Reporting: Legal Obligations and Protection	Ms Denise Chan Senior Public Prosecutor, Prosecutions Division, Department of Justice
1010 – 1040	Suspicious Transaction Reporting: Statistics and Case Examples	Mr ST Wong Senior Investigator, Financial Investigation Group, Customs and Excise Department
1040 – 1100	Break	
1100 – 1130	Experience Sharing Session	Ms Gloria Ma Director of Corporate Secretarial and Head of Registration and Compliance Services of KCS (Hong Kong) Limited
1130 – 1200	Panel Discussion / Q&A	Chaired by Mrs Natalia Seng Past President of HKICS and Executive Director of Tricor

## Estate Agents – 2<sup>nd</sup> March 2010 p.m. (Cantonese)

Time	Topic	Speaker
1330 – 1400	Registration and be seated	
1400 – 1410	Opening Address	Mr Anthony Wong Director of Operations, Estate Agents Authority
1410 – 1440	International Standards on Regulating DNFBPs and the Way Forward	Mr Peter Kwok Assistant Secretary, Narcotics Division, Security Bureau
1440 – 1510	Suspicious Transaction Reporting: Legal Obligations and Protection	Ms Elizabeth Liu Senior Government Counsel, International Law Division, Department of Justice
1510 – 1540	Suspicious Transaction Reporting: Statistics and Case Examples	Mr ST Wong Senior Investigator, Financial Investigation Group, Customs and Excise Department
1540 – 1600	Break	
1600 – 1630	Experience Sharing Session	Mr Anthony Wong Director of Operations, Estate Agents Authority
1630 – 1700	Panel Discussion / Q&A	Chaired by Mr Anthony Wong Director of Operations, Estate Agents Authority

## Lawyers – 3<sup>rd</sup> March 2010 p.m. (English)

Time	Topic	Speaker
1330 – 1400	Registration and be seated	
1400 – 1410	Opening Address	Mr Huen WONG President, the Law Society of Hong Kong
1410 – 1440	International Standards on Regulating DNFBPs and the Way Forward	Mr PY MA Assistant Secretary, Narcotics Division, Security Bureau
1440 – 1510	Suspicious Transaction Reporting: Legal Obligations and Legal Professional Privileges	Mr Gavin Shiu Senior Assistant Director of Public Prosecutions, Prosecutions Division, Department of Justice

1510 – 1540	Suspicious Transaction Reporting: Statistics and Case Examples	Mr. Stewart McGlynn Chief Inspector of Police, Joint Financial Intelligence Unit
1540 – 1600	Break	
1600 – 1630	Experience Sharing Session	Mr Andrew Dale Consultant, Orrick, Herrington & Sutcliffe
1630 – 1700	Panel Discussion / Q&A	Chaired by Mr Andrew Dale Consultant, Orrick, Herrington & Sutcliffe

### Accountants – 4<sup>th</sup> March 2010 a.m. (English)

Time	Topic	Speaker
0830 – 0900	Registration and be seated	
0900 – 0910	Opening Address	Mr Albert Au Past President, HKICPA
0910 – 0940	International Standards on Regulating DNFBPs and the Way Forward	Mr PY Ma Assistant Secretary, Narcotics Division, Security Bureau
0940 – 1010	Suspicious Transaction Reporting: Legal Obligations and Protection	Ms Elizabeth Liu Senior Government Counsel, International Law Division, Department of Justice
1010 – 1040	Suspicious Transaction Reporting: Statistics and Case Examples	Mr Stewart McGlynn Chief Inspector of Police, Joint Financial Intelligence Unit
1040 – 1100	Break	
1100 – 1130	Experience Sharing Session	Mr Steve Rogerson Senior Manager, Transaction and Restructuring, Forensic, KPMG
1130 – 1200	Panel Discussion / Q&A	Chaired by Mr Raphael Ding, Council Member, HKICPA Special guest: Mrs Natalia Seng, Past President of HKICS & Executive Director of Tricor

## Accountants – 4th March 2010 p.m. (Cantonese)

Time	Topic	Speaker
1330 – 1400	Registration and be seated	
1400 – 1410	Opening Address	Mr Philip Tsai Vice President, HKICPA
1410 – 1440	International Standards on Regulating DNFBPs and the Way Forward	Mr Peter Kwok Assistant Secretary, Narcotics Division, Security Bureau
1440 – 1510	Suspicious Transaction Reporting: Legal Obligations and Protection	Miss Catherine Fung Senior Public Prosecutor, Prosecutions Division, Department of Justice
1510 – 1540	Suspicious Transaction Reporting: Statistics and Case Examples	Mr John Tse Chief Inspector of Police, Joint Financial Intelligence Unit
1540 – 1600	Break	
1600 – 1630	Experience Sharing Session	Ms Julie Ong Associate Director, Forensic & Dispute Services, Deloitte & Touche Financial Advisory Services Limited
1630 – 1700	Panel Discussion / Q&A	Chaired by Ms Mabel Chan, Council Member, HKICPA Special guest: Mrs Natalia Seng, Past President of HKICS & Executive Director of Tricor

### Venue

The seminars will be held at the Lecture Theatre, G/F, Hong Kong Central Library, 66 Causeway Road, Causeway Bay, Hong Kong. There are no parking facilities at the library.

### Charge

The seminars are free of charge.

**CPD Points** (for members of the Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong and The Hong Kong Institute of Chartered Secretaries)

2.5 CPD points will be awarded by the Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong or The Hong Kong Institute of Chartered Secretaries as appropriate. Participants must attend the whole seminar, otherwise no CPD points will be awarded.

## **Enrolment and Registration**

Please note that the seminars are sector specific. You are not allowed to enrol to a seminar which is not designated for your sector or profession. Enrolments are on a first-come-first-serve basis.

Except members of the Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong and The Hong Kong Institute of Chartered Secretaries, interested parties are required to complete the **enrolment form** below and return it to the Joint Financial Intelligence Unit by fax or email. Enrolment can be presumed successful if the enrolment form reaches the Joint Financial Intelligence Unit *one week before the selected seminar*. Please retain a copy of the enrolment form for registration at the venue.

*\* For members of the Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong and The Hong Kong Institute of Chartered Secretaries, please refer to the enrolment and registration procedures promulgated by the respective organizations. Please see [Enquiries](#) below for contact of respective organizations.*

## **Enquiries**

Any enquiries about the seminars can be made by telephone or email to the respective organizations as follows:

<b>Seminar</b>	<b>Enquiries</b>
<b>Accountants</b>	<b>Hong Kong Institute of Certified Public Accountants</b> Tel: 2287 7009 (Ms. May Hung) Email: <a href="mailto:may@hki CPA.org.hk">may@hki CPA.org.hk</a> Tel: 2287 7089 (Ms. Canace Leung) Email: <a href="mailto:canace@hki CPA.org.hk">canace@hki CPA.org.hk</a>
<b>Estate Agents</b>	<b>Estate Agents Authority</b> Tel: 2150 2468 Email: <a href="mailto:eaatraining@eaa.org.hk">eaatraining@eaa.org.hk</a>
<b>Lawyers</b>	<b>The Law Society of Hong Kong</b> Tel: 2846 0509 (Ms. Helen Chan) Tel: 2846 0552 (Mr. Joseph Lee) Email: <a href="mailto:CPD_enrolment@hklawacademy.org">CPD_enrolment@hklawacademy.org</a>

<b>Trust and Company Service Providers</b>	<b>The Hong Kong Institute of Chartered Secretaries</b> Tel.: 2881 6177 Email: <a href="mailto:ecpd@hkics.org.hk">ecpd@hkics.org.hk</a>
<b>Non-members of the above organisations</b>	<b>Joint Financial Intelligence Unit</b> Tel: 2866 3366 (Ms. Zalea FU) Email: <a href="mailto:jfiu@police.gov.hk">jfiu@police.gov.hk</a>

**Enrolment Form**  
**Anti-Money Laundering Seminars for**  
**Designated Non-Financial Businesses and Professions**  
(for the use of **non-members** of HKICPA, EAA , Law Society of HK & HKICS)

To: Joint Financial Intelligence Unit  
Fax: 2529 4013  
Email: [jfiu@police.gov.hk](mailto:jfiu@police.gov.hk)

**I will attend the seminar on \_\_\_\_\_ March 2010 a.m./p.m.\***

Name: \_\_\_\_\_

Company name: \_\_\_\_\_

Post: \_\_\_\_\_

Company address: \_\_\_\_\_

Telephone number: \_\_\_\_\_ Fax: \_\_\_\_\_

Email address: \_\_\_\_\_

**Note:**

- *The seminars are free of charge. Enrolments are on a first-come-first-serve basis.*
- *Please retain a copy of this enrolment form for registration at the venue.*
- *The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars. It will be destroyed when the said purposes are fulfilled.*