

Anti-Money Laundering Seminars for Designated Non-Financial Businesses and Professions

*Designated Non-Financial Businesses and Professions -
Accountants, Estate Agents, Lawyers, Trust and Company Service Providers*

Theme

Be Our Gatekeeper

Purpose

The Narcotics Division of the Security Bureau is going to organise a series of anti-money laundering seminars for Designated Non-Financial Businesses and Professions (DNFBPs) in February 2012. The objectives of the seminars are:

- To provide DNFBPs with an overview of the latest international and domestic developments on anti-money laundering / counter-financing of terrorism
- To enhance practitioners' understanding of the statutory obligations and practices of suspicious transaction reporting
- To provide a forum for discussion on matters of common concern relating to anti-money laundering / counter-financing of terrorism compliance and reporting

Co-Organisers

The seminars are jointly organised by the Narcotics Division of Security Bureau with:

[Hong Kong Institute of Certified Public Accountants](#)

[Estate Agents Authority](#)

[The Law Society of Hong Kong](#)

[The Hong Kong Institute of Chartered Secretaries](#)

[Joint Financial Intelligence Unit](#)

Who should attend

- Accountants
- Estate Agents
- Lawyers
- Trust and Company Service Providers

Schedule of Seminars (Cantonese / English Sessions)

Date / Venue	A.M. Session	P.M. Session
21 February 2012 (Tue) (Hong Kong Arts Centre)	Trust and Company Service Providers (Cantonese)	Trust and Company Service Providers (Cantonese)
22 February 2012 (Wed) (Hong Kong Arts Centre)	-	Lawyers (English)
23 February 2012 (Thu) (Hong Kong Arts Centre)	Accountants (English)	Accountants (Cantonese)
27 February 2012 (Mon) (Hong Kong Heritage Discovery Centre)	-	Estate Agents (Cantonese)

Programmes and Speakers

Trust and Company Service Providers – 21st Feb 2012 a.m. (Cantonese)

Venue: Shouson Theatre, Hong Kong Arts Centre

Time	Topic	Speaker
0945 – 1015	Registration and be seated	
1015 – 1025	Opening Address	Mrs Natalia Seng Past President and Ex-Officio Council Member The Hong Kong Institute of Chartered Secretaries
1025 – 1055	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards	Mr Tsui Yat, Alvis Assistant Secretary for Security Narcotics Division, Security Bureau
1055 – 1135	Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples	Mr Wong Shu-tak Senior Investigator, Joint Financial Intelligence Unit
1135 – 1205	Experience Sharing Session	Mrs Natalia Seng The Hong Kong Institute of Chartered Secretaries Mr Eddie Liou Council Member

		The Hong Kong Institute of Chartered Secretaries
1205 – 1230	Panel Discussion / Q&A	Chaired by Mrs Natalia Seng, The Hong Kong Institute of Chartered Secretaries

Trust and Company Service Providers – 21st Feb 2012 p.m. (Cantonese)
Venue: Shouson Theatre, Hong Kong Arts Centre

Time	Topic	Speaker
1415 – 1445	Registration and be seated	
1445 – 1455	Opening Address	Mrs Natalia Seng Past President and Ex-Officio Council Member The Hong Kong Institute of Chartered Secretaries
1455 – 1525	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards	Mr Tsui Yat, Alvis Assistant Secretary for Security Narcotics Division, Security Bureau
1525 – 1605	Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples	Mr Wong Shu-tak Senior Investigator Joint Financial Intelligence Unit
1605 – 1635	Experience Sharing Session	Mrs Natalia Seng The Hong Kong Institute of Chartered Secretaries Mr Eddie Liou Council Member The Hong Kong Institute of Chartered Secretaries
1635 – 1700	Panel Discussion / Q&A	Chaired by Mrs Natalia Seng, The Hong Kong Institute of Chartered Secretaries

Lawyers – 22nd Feb 2012 p.m. (English)
Venue: Shouson Theatre, Hong Kong Arts Centre

Time	Topic	Speaker
1415 – 1445	Registration and be seated	
1445 – 1455	Opening Address	Mr Michael J. Lintern-Smith Chairman of the Anti-money Laundering Committee and Council Member The Law Society of Hong Kong
1455 – 1525	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards	Mr Fok Lok-sang, Wilson Assistant Secretary for Security Narcotics Division, Security Bureau
1525 – 1540	Suspicious Transaction Reporting: Legal Obligations and Legal Professional Privileges	Ms Ho Wai-man, Winnie Assistant Director of Public Prosecutions Department of Justice
1540 – 1605	Suspicious Transaction Reporting: Statistics and Case Examples	Mr Mak Wing-yip, Cyril Chief Inspector Joint Financial Intelligence Unit
1605 – 1635	Experience Sharing Session	Mr Michael J. Lintern-Smith Chairman of the Anti-money Laundering Committee and Council Member The Law Society of Hong Kong
1635 – 1700	Panel Discussion / Q&A	Chaired by Mr Michael J. Lintern-Smith, Chairman of the Anti-money Laundering Committee and Council Member The Law Society of Hong Kong

Accountants – 23rd Feb 2012 a.m. (English)
Venue: Shouson Theatre, Hong Kong Arts Centre

Time	Topic	Speaker
0945 – 1015	Registration and be seated	
1015 – 1025	Opening Address	Mr Raphael Ding Council Member, HKICPA
1025 – 1055	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International	Mr Fok Lok-sang, Wilson Assistant Secretary for Security Narcotics Division, Security Bureau

	Standards	
1055 – 1135	Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples	Mr Ho Pak-sum, Sam Senior Inspector Joint Financial Intelligence Unit
1135 – 1205	Experience Sharing Session	Mr Roger Knight Consultant, Assurance Risk & Quality, PwC
1205 – 1230	Panel Discussion / Q&A	Chaired by Mr Raphael Ding, Council Member, HKICPA

Accountants – 23rd Feb 2012 p.m. (Cantonese)
Venue: Shouson Theatre, Hong Kong Arts Centre

Time	Topic	Speaker
1415 – 1445	Registration and be seated	
1445 – 1455	Opening Address	Mr Johnson Kong Managing Partner, Head of Specialist Advisory, BDO
1455 – 1525	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards	Mr Tsui Yat, Alvis Assistant Secretary for Security Narcotics Division, Security Bureau
1525 – 1605	Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples	Ms Look Suet-ha, Ruby Senior Inspector Joint Financial Intelligence Unit
1605 – 1635	Experience Sharing Session	Mr Rio Lam Principal, Specialist Advisory Services BDO
1635 – 1700	Panel Discussion / Q&A	Chaired by Mr Johnson Kong, Managing Partner, Head of Specialist Advisory, BDO

Estate Agents – 27th Feb 2012 p.m. (Cantonese)

Venue: Lecture Hall, Hong Kong Heritage Discovery Centre

Time	Topic	Speaker
1415 – 1445	Registration and be seated	
1445 – 1455	Opening Address	Ms Lau Suk-fun, Eva Director of Regulatory Affairs and General Counsel, Estate Agents Authority
1455 – 1525	Anti-Money Laundering and Counter-Financing of Terrorism - Alignment with the International Standards	Mr Tsui Yat, Alvis Assistant Secretary for Security Narcotics Division, Security Bureau
1525 – 1605	Suspicious Transaction Reporting: Legal Obligations and Protection, Statistics and Case Examples	Ms Chan Har, Kennis Senior Inspector Joint Financial Intelligence Unit
1605 – 1635	Experience Sharing Session	Ms Lau Suk-fun, Eva Director of Regulatory Affairs and General Counsel, Estate Agents Authority
1635 – 1700	Panel Discussion / Q&A	Chaired by Ms Lau Suk-fun, Eva, Director of Regulatory Affairs and General Counsel, Estate Agents Authority

Venue

The seminars will be held at the Shouson Theatre of Hong Kong Arts Centre from 21 to 23 February 2012 and at the Lecture Hall of Hong Kong Heritage Discovery Centre on 27 February 2012. There are no parking facilities at the two venues. (Please gain access to the venue as shown in the attached location maps.)

Charge

The seminars are free of charge.

CPD Points

CPD points will be awarded to members of Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong and the Hong Kong Institute of Chartered Secretaries by the respective organisations. Details are to be announced by the respective organisations in due course.

Enrolment and Registration

The seminars are sector specific and are on a first-come-first-serve basis. Please enrol to a seminar designated for your own sector.

For members of Hong Kong Institute of Certified Public Accountants, Estate Agents Authority, the Law Society of Hong Kong and the Hong Kong Institute of Chartered Secretaries, please refer to the enrolment and registration procedures promulgated by the respective organizations.

For non-members of the above organizations, please complete the **enrolment form** below and return it to the Joint Financial Intelligence Unit by fax or email. **The deadline for enrolment is 13 February 2012.** Enrolment can be presumed successful if the enrolment form reaches the Joint Financial Intelligence Unit (JFIU) before the deadline. Otherwise, JFIU will inform unsuccessful applicants by phone if the seminars are full. Please retain a copy of the enrolment form for registration at the venue.

Enquiries

Any enquiries about the seminars can be made by telephone or email to the respective organizations or the Joint Financial Intelligence Unit as follows:

Seminar	Enquiries
Accountants	Hong Kong Institute of Certified Public Accountants Tel: 2287 7009 (Ms May Hung) Email: may@hki CPA.org.hk Tel: 2287 7089 (Ms Canace Leung) Email: canace@hki CPA.org.hk
Estate Agents	Estate Agents Authority Tel: 2111 2777 (Continuing Professional Development Scheme) Email: eaatraining@eaa.org.hk
Lawyers	The Law Society of Hong Kong Tel: 2846 0587 (Mr Yiu) 2846 0585 (Ms Lee) Email: CPD_enrolment@hklawacademy.org
Trust and Company Service Providers	The Hong Kong Institute of Chartered Secretaries Tel: 2881 6177 Email: ecpd@hkics.org.hk

Non-members of the above organisations

Joint Financial Intelligence Unit

For Lawyers and Trust and Company Service Providers,

Tel: 2866 3366 (Mr But / Mr Kwan)

Email: jfiu@police.gov.hk

For Accountants and Estate Agents,

Tel: 2860 5153 (Mr Kwok)

Email: jfiu@police.gov.hk

Enrolment Form

Anti-Money Laundering Seminars for Designated Non-Financial Businesses and Professions

(for the use of **non-members** of HKICPA, EAA , Law Society of HK & HKICS)

To: Joint Financial Intelligence Unit
Fax: 2529 4013
Email: jfiu@police.gov.hk

I will attend the seminar on _____ February 2012 a.m./p.m.*

Name (Mr/Miss/Mrs/Ms*): _____

Company name: _____

Post: _____

Company address: _____

Telephone number: _____ Fax: _____

Email address: _____

* Please delete whichever is inappropriate

Note:

- *The seminars are free of charge. Enrolments are on a first-come-first-serve basis.*
- *Please retain a copy of this enrolment form for registration at the venue.*
- *The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars. It will be destroyed when the said purposes are fulfilled.*

(1) 香港藝術中心壽臣劇院 Shouson Theatre, Hong Kong Arts Centre



公共交通 Pubic Transportation

1. 巴士路綫 Bus Route Nos.

九巴 KMB Bus : No. 101, 103, 111, 115, 182, 603, 934, 935, 948, 960, 961, 968

城巴 City Bus : No. 1, 5, 5B, 5X, 8X, 11, A11, E11, 40, 40M, 70, 70M, 75, 90, 97, 103, 182, 260, 511, 619, 621, 680, 681, 690, 780, 788, 789, 962, 969

新巴 First Bus : No. 2, 4X, 18, 23, 23A, 23B, 25, 26, 56, 88R, 104, 115, 601, 641, 691, 720, M722, 905, 914

2. 港鐵 (灣仔站 C 出口) MTR (Wan Chai Station Exit C)

截至 31.12.2011
(as at 31.12.2011)

(2) 香港文物探知館演講廳

Lecture Theatre, Hong Kong Heritage Discovery Centre



公共交通 Pubic Transportation

1. 巴士路綫 Bus Route Nos.

九巴 KMB Bus : No. 1, 1A, 2, 2C, 6, 7, 9, 12, 13X, 26, 35A, 41A, 81C, 81P, 87D, 98D, 98P, 203, 208, 215X, 219P, 219X, 224X, 230X, 234P, 234X, 237A, 242X, 252B, 259B, 259C, 260B, 260X, 261B, 267S, 268B, 269B, 271, 271P, 280P, 281A, 296D

城巴 City Bus : No. A21, 973, 973P

新巴 First Bus : No. 100

2. 港鐵 (尖沙咀站 A1 出口) MTR (Tsim Sha Tsui Station Exit A1)