

Manual of
Beat Drugs Fund
Special Funding Scheme for
Drug Dependent Persons
Treatment and Rehabilitation Centres

December 2023

**Beat Drugs Fund
Special Funding Scheme for
Drug Dependent Persons
Treatment and Rehabilitation Centres**

This Manual sets out the basis for the use of the Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (SFS) and guides the applicants and the grantees through the procedures of application, payment and control of SFS grants. The applicants and the grantees are required to observe this Manual in preparing for their applications for SFS grants, as well as comply with all provisions contained herein, any other conditions as set out in the relevant approval documents, relating to the SFS grants.

Enquiries on this Manual should be addressed to the Secretariat of the Beat Drugs Fund Association (BDFA) at Queensway Government Offices, High Block, 30th Floor, 66 Queensway, Hong Kong, by telephone at 2867 2763, via fax line number at 2810 1790 or by e-mail to sbenq@sb.gov.hk.

CHAPTER 1

Introduction

1.1 Objective of the Beat Drugs Fund

- 1.1.1 The Beat Drugs Fund (BDF) aims to promote worthwhile anti-drug activities which can help reduce the problem of drug abuse, particularly amongst young people, and to promote community-wide efforts and programmes in the campaign against drug abuse in Hong Kong.

1.2 Establishment of the Beat Drugs Fund

- 1.2.1 BDF with a capital base of \$350 million was established in March 1996 to provide funding support for worthwhile anti-drug projects. A capital injection of \$3 billion was made in 2010 to better support the community efforts in anti-drug cause.
- 1.2.2 It is administered by BDFA (incorporated under the Companies Ordinance, Cap. 32) on the advice of the Action Committee Against Narcotics (ACAN). The Narcotics Division (ND) of the Security Bureau provides secretariat support for the administration and management of BDF.

1.3 Objective of the Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres

- 1.3.1 The objectives of SFS are to provide financial assistance to existing drug treatment and rehabilitation centres (DTRCs) for meeting the licensing requirements stipulated in the Drug Dependent Persons Treatment and Rehabilitation Centres (Licensing) Ordinance (Cap. 566) (the Ordinance) with a view to obtaining a licence, and for supporting their sustained efforts in enhancing service capacity and sophistication.

1.4 The Manual

- 1.4.1 Since the establishment of SFS, four sets of guide to application or manuals have been promulgated, and they are applicable to grants approved in different periods of time as set out below –

<i>Edition</i>	<i>Applicable to grants approved</i>
<i>Guide to Application for Beat Drugs Fund Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (promulgated in January 2002)</i>	Before May 2011
<i>Manual of Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (promulgated in May 2011)</i>	Between May 2011 and August 2016
<i>Manual of Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (promulgated in August 2016)</i>	Between August 2016 and December 2023
<i>Manual of Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (promulgated in December 2023)</i>	Since December 2023 until further notice

Apart from the above, all grants approved in different periods of time will continue to be regulated by *the individual funding agreements signed between BDFa and the grantees* and/or specified conditions prescribed in the approval documents of the grants as appropriate.

- 1.4.2 The provisions in the Manual are prescribed by BDFa which may amend, supplement, apply, interpret and make exceptions to them. There may be reviews and updates of the Manual from time to time. Practice notes and guidance may also be issued by BDFa from time to time to supplement the Manual.

Chapter 2

Eligibility and Level of Support

2.1 Eligibility

2.1.1 An applicant should be –

- (a) one of the operators of existing DTRCs operating under a Certificate of Exemption (CoE) issued by the Director of Social Welfare pursuant to the Ordinance, which require funding to meet the licensing requirements, or with licence which require funding to enhance service capacity and sophistication; and
- (b) an approved charitable institution or trust of a public character which is exempt from tax under section 88 of the Inland Revenue Ordinance (Cap. 112).

2.1.2 SFS **only** covers the works connected with an existing DTRC as defined in paragraph 2.1.1, including but not limited to in-situ expansion or redevelopment / reprovisioning of an existing DTRC. SFS does not cover works for setting up a new DTRC.

2.1.3 An eligible applicant may apply more than once to meet the licensing requirements and / or to increase the capacity and sophistication of their services in response to the changing drug scene. However, the applicants should also note paragraphs 3.1.3 and 3.3.2 for requirements on the time of previous works and the lifespan of the items concerned.

2.2 Level of Support

2.2.1 The maximum amount of funding to be granted in normal circumstances to a project is **\$67 million** (see paragraph 2.2.2). BDFA may, however, consider approving grants above the ceiling under exceptional circumstances with full justifications.

2.2.2 Subject to paragraph 2.2.3, grants disbursed for each application are set out in the table below:

<u>Approved Project Value</u> ¹	<u>Maximum Grants</u>
≤ \$8 million	Full
> \$8 million	90% of approved project value or \$8 million, whichever is higher (in normal circumstances subject to a ceiling of \$67 million)

2.2.3 BDFA retains absolute discretion in not approving funds up to the maximum level in any individual case, taking into account the merits of the proposal, the financial position of BDF and the overall drug situation of the time.

¹ The approved project value refers to the value of the total amount of works, equipment and services of the project as recognised by the BDFA (in consultation with Government departments concerned) and after adjustment by the relevant tender price indices where applicable.

Chapter 3

Ambit

3.1 Works Projects

- 3.1.1 Works projects generally include construction of purpose-built premises (including those arising from redevelopment / reprovisioning), renovation / maintenance works for existing premises, engineer slope inspection or remedial works, works for retrofitting or upgrading of fire service installation and equipment, and conversion and fitting-out works related to the addition / reduction of space provided for the existing premises etc.
- 3.1.2 Works projects outside the boundary of the DTRC site concerned may be supported, provided that such works are necessary for and incidental to the whole project (e.g. slope safety, fire safety, drainage, landscape and environmental protection, road, public utilities or sewage connection works).
- 3.1.3 Renovation of premises which have been fitted-out or undergone major renovation in the last five years or which have a remaining life span of less than three years will normally not be considered. Full justifications must be given in the applications.

3.2 Preparation for Lodging an Application

Projects for which technical feasibility study required

- 3.2.1 For all purpose-built projects including those arising from redevelopment / reprovisioning, it is a prerequisite for the applicant to conduct a technical feasibility study (TFS) before applying for the SFS grant to cover the project cost.
- 3.2.2 For major renovation projects (e.g. incurring structural changes), the applicant may also conduct a TFS if deemed warranted by the situation.
- 3.2.3 A TFS should be carried out by an Authorised Person (AP) / Consultant engaged by the applicant under paragraph 3.2.6. As appropriate on a project-specific basis, a TFS should include a clear definition of the scope

of the project, topographical survey, site investigation, an assessment of the need for an environmental impact / risk assessment at the detailed design stage, site constraints, cost estimate, preliminary schematic design, general layout drawings, works programme and assessment of accessibility of site, availability of utility services, etc. The TFS report has to be submitted to the BDFA Secretariat for approval in consultation with the Architectural Services Department (ArchSD).

- 3.2.4 The applicant may apply for The SFS grant for carrying out a TFS for projects under paragraphs 3.2.1 and 3.2.2. Under normal circumstances, the grant for a TFS shall itself be capped at **\$2 million**. Approval of such a grant, however, does **not** commit BDFA to support the works project. For the avoidance of doubt, the grant for a TFS, as a preparation for the works project, will not be counted towards the \$67-million funding ceiling of the works project if subsequently approved.
- 3.2.5 Prior to applying for the SFS grant for carrying out a TFS, the applicant may apply for SFS funding for engaging an AP / Consultant to carry out the preparatory work, including the formulation of a project brief covering the project scope and nature, justifications, implications concerning the environment / general utilities / slope maintenance / tree preservation / building safety / fire safety / transport / access road / land / visual impact / landscape / other relevant issues to be studied under the TFS; preparation of site sketches and a master layout plan; preliminary project estimates; preparation (including subsequent amendments) of tender documents for engaging an AP / Consultant to supervise the whole project from the TFS stage until completion of the construction works (see paragraph 3.2.6); and provision of other technical assistance needed by the applicant in applying for the SFS grant for carrying out the TFS. All submissions completed under such preparatory work have to be submitted to the BDFA Secretariat for approval in consultation with ArchSD.
- 3.2.6 For projects under paragraphs 3.2.1 and 3.2.2, the TFS stage and the detailed design / construction stage will be considered in a coherent manner. The applicant should conduct a tender exercise to engage an AP / Consultant to supervise the whole project from the TFS stage until completion of the construction works including defects rectification during defects liability period. The duties of the AP / Consultant engaged should include carrying out the TFS, preparing the TFS report for the BDFA Secretariat's approval (see paragraph 3.2.3), preparing the detailed design and tender documents for the construction works, and providing other technical assistance needed by the applicant in applying for the SFS

grant for the construction works. The applicant should follow the relevant procedures and Section 6.6 in Chapter 6 of this Manual in engaging the AP / Consultant.

- 3.2.7 For upholding the objectives of public accountability, transparency, and open and fair competition, the AP / Consultant (including its associated companies, associates or associated persons or in joint ventures with others) engaged for carrying out the preparatory work under paragraph 3.2.5 is **not** permitted to participate in the tender exercise for the AP / consultancy services for conducting the TFS cum detailed design and construction under paragraph 3.2.6.
- 3.2.8 The general procedures for purpose-built projects are outlined in *Annex 3.1*.

Projects for which TFS not required

- 3.2.9 For projects not requiring a TFS, prior to applying for the SFS grant for the project cost, the applicant may also apply for SFS funding for engaging an AP / Consultant to carry out the preparatory work. As appropriate and depending on the scope and complexity of the project, the preparatory work should include the formulation of a project brief covering the project scope and nature, justification and technical implications to be addressed; preparation of preliminary design; project estimates; preparation (including subsequent amendments) of tender documents for engaging AP / consultancy / professional services for conducting detailed design and construction; and other technical assistance needed by the applicant in applying for the SFS grant for the project cost. It should be noted that for these projects, BDFA will decide whether funding will be approved for engaging an AP / Consultant to supervise the project (see paragraph 6.6.2). All submissions completed under such preparatory work have to be submitted to the BDFA Secretariat for approval in consultation with ArchSD.
- 3.2.10 For upholding the objectives of public accountability, transparency, and open and fair competition (see paragraph 3.2.7), the AP / Consultant (including its associated companies, associates or associated persons or in joint ventures with others) engaged for carrying out the preparatory work under paragraph 3.2.9 is **not** permitted to participate in the tender exercise for the AP / consultancy / professional services for conducting detailed design and construction for the project.

Funding for preparatory work

- 3.2.11 For making an application for the SFS grant for the preparatory work under paragraphs 3.2.5 and 3.2.9, the applicant should follow the relevant procedures in Chapter 6 of this Manual in procuring the AP / Consultant services for the preparatory work. The applicant should obtain written quotations from at least five APs / Consultants. Sample documents for inviting such written quotations are at **Annex 3.2**. Subject to the prior approval of BDFA in consultation with ArchSD, the applicant should normally accept the lowest conforming quotation or the conforming offer with the highest overall score (if a marking scheme is used).
- 3.2.12 The maximum amount of SFS funding to be granted for the preparatory work for a project under paragraphs 3.2.5 and 3.2.9 is **\$150,000**. Payment for the preparatory work will be made on a reimbursement basis after the submissions completed under the preparatory work have been approved by the BDFA Secretariat in consultation with ArchSD. For the avoidance of doubt, the SFS grant for the preparatory work will not be counted towards the \$67-million funding ceiling of the works project if subsequently approved.

3.3 Furniture and Equipment (F&E)

- 3.3.1 F&E items associated with works within the ambit of SFS and for the operation of the DTRC may be eligible for financial assistance under SFS and should normally be submitted together with the application for the associated works. The application should contain the detailed breakdown of the estimated costs, together with the justification for each item. Standalone applications for procuring F&E items or their replenishment will not be considered under SFS. These applications shall be made in the regular BDF Funding Exercises.
- 3.3.2 Applications for replenishment of F&E for premises that have already been newly furnished and equipped within the five-year period preceding the date of submission of application will normally not be considered. Full justifications must be given.
- 3.3.3 In considering the applications, reference will be made to the relevant information published by the Social Welfare Department (SWD) and / or equivalent documents by other departments from time to time (see paragraphs 6.4.1 to 6.4.4).

3.4 Employment of Project Coordinator

- 3.4.1 Depending on the capability of the applicant concerned and the scale and complexity of the project, an applicant may include the salary of one project coordinator with relevant experience into the estimated project cost. Expenses incurred before the grant of funding approval will not be reimbursable. To provide flexibility, an applicant may hire more than one person to take up the role as project coordinators on a part-time basis. Approval of the BDFA Secretariat shall be sought before invitation and engagement of a project coordinator.
- 3.4.2 The principles of fairness and avoidance of conflict of interest must be upheld. The applicant shall furnish supporting information (including but not limited to salary, job description and the recruitment process) to facilitate consideration by the BDFA Secretariat.

3.5 Unallowable Costs

- 3.5.1 SFS only covers costs related to the works project as defined under paragraphs 3.1 to 3.4 which are essential to achieve the objectives of SFS stipulated under paragraph 1.3.1. Other costs including but not limited to staff costs, administration costs, or other recurrent non-capital costs (e.g. utility charges) are **not** funded by SFS.

3.6 Others

- 3.6.1 In addition to the items set out above, BDFA may exercise discretion to fund further measures to facilitate and expedite a specific project on a merit basis, subject to individual circumstances.

CHAPTER 4

Application and Project Assessment

4.1 Submission of Application

- 4.1.1 All applicants are required to complete and submit in **triplicate** the Application Form at *Annex 4.1* with relevant supporting documents. Address of the premises concerned and details of the contact person should be clearly indicated in the application.
- 4.1.2 Completed application forms and relevant supporting documents (in triplicate) should be submitted in person / by mail / courier. Applicants should also enclose an electronic version with editable version of Annexes A and B to the Application Form in CD-ROM or USB storage device to facilitate processing.
- 4.1.3 Applications from existing DTRC operators are accepted all year round.
- 4.1.4 All information provided in the applications is binding on the successful applicants (grantees). Applicants are required to note in particular that the projected cash flow and implementation schedule of the approved projects should be strictly adhered to unless otherwise accepted or approved by BDFA.
- 4.1.5 Applicants are required to provide additional or supplementary information in relation to their applications as and when required by BDFA. However, it should be noted that applicants should provide the best and fullest information in their applications, and BDFA is not obliged to request additional information.
- 4.1.6 The application should contain a detailed breakdown of the estimated costs of the works, giving full justification for each proposed item. If the estimate is not prepared by professional consultants, quotation from a contractor should be presented for reference (please refer to Chapter 6 for details). Applicants may receive funding from other sources (including third party sponsorship, funding schemes operated by other Government bureaux/departments or other non-governmental organisations (NGOs), etc.) for the project (please refer to section 8.1 on requirements about sponsorship for details). Items already supported by other funding

sources (including self-financed items) should be separately shown in the application to facilitate consideration.

- 4.1.7 Applicants are strongly advised to consider thoroughly all items relating to the proposed project and include them in one single application to facilitate consideration by BDFFA.
- 4.1.8 Applicants should also note that approval given for one item or one set of items does not necessarily render approval for another set / sets of related items. Under **NO** circumstances would BDFFA, ACAN or ND be responsible for any financial implications that might result from submitting applications for related items.
- 4.1.9 Repeated application for the same item(s) before announcement of the result will **not** be considered.
- 4.1.10 Where the works involve structural changes, the applicant should obtain prior approval / approval in principle from the relevant authority, landlord and / or the management body of the premises according to relevant ordinances and / or regulations.
- 4.1.11 Applicants who are in the process of preparing applications to or awaiting replies from Government bureaux / departments concerned on the proposed works projects in their applications may submit applications to SFS. If their SFS applications are considered support-worthy but approvals from Government bureaux / departments concerned have not been obtained, BDFFA may give an approval-in-principle to the successful applicants. Upon receipt of documentary proof that necessary approvals from all Government bureaux / departments have been obtained, a formal approval will be given by BDFFA to the applicants who can then claim disbursement of grant under SFS according to the procedures stated in Section 7.2.
- 4.1.12 Applicants should not enter into any firm financial commitment in respect of any project before their applications are approved. Any financial commitment made without the formal approval of BDFFA shall be the sole responsibility of applicants and will **not** be subsequently reimbursed under BDFFA.

4.2 Vetting and Processing of Applications

- 4.2.1 Advice on the support-worthiness of the applications is first sought from relevant Government departments before consideration by ACAN and then the Governing Committee of BDFA.
- 4.2.2 Applicants may be requested to present their proposals to BDFA in processing the applications if deemed necessary.
- 4.2.3 In particular, ArchSD acts as the technical adviser to BDFA in respect of building projects exceeding \$500,000. As far as project-specific advice is concerned, ArchSD provides technical comments on the submission by applicants / APs of budgetary estimates, consultancy documents and recommendations, tender documentation and recommendations, and final account for final subvention level. For projects of \$500,000 or below, ArchSD provides technical comments on budgetary estimates and provides general advisory service upon request by BDFA.
- 4.2.4 In examining the budgetary estimates, site inspections for the proposed works will be made by staff of ArchSD or BDFA. To facilitate such site inspections, the applicant should provide full addresses of the premises concerned and details of contact person(s) and telephone number(s) upon submission of the application. The applicant's staff should be available on site to indicate to staff of ArchSD or BDFA the exact locations of all proposed works. Information on the proposed works should be provided in detail and preferably with sketch drawings.

4.3 Factors to be Considered in Project Assessment

- 4.3.1 ACAN and BDFA will consider each application on its own merits. In considering an application, ACAN and BDFA will take account of, but not be limited to the following factors:
- (a) whether there is a demonstrated need for the proposed project;
 - (b) the number of beneficiaries of the proposed project;
 - (c) whether the proposed budget is reasonable and realistic;
 - (d) whether the proposed works will entail expensive recurrent maintenance expenditure; and

(e) the past performance of the applicant in operating DTRC(s).

Priority will be given to helping DTRCs operating under CoEs to obtain a licence.

4.3.2 The following applications shall not be considered:

(a) projects eligible for Government subvention;

(b) projects unrelated to existing DTRCs;

(c) projects which have commenced before approval is given by BDFA;
and

(d) projects which have been completed.

4.4 Outcome of Applications

4.4.1 The applicant will be informed in writing of the result of their applications. Decisions of BDFA in respect of project approvals and disbursement of grants will be final.

CHAPTER 5

Conditions of Grant

5.1 Funding Agreement

5.1.1 The grantee is required to enter into a funding agreement with BDFFA setting out various conditions of the grant which it must comply with. Principal ones may include the following:

- (a) the grantee maintaining its status as a charitable organisation exempted from tax;
- (b) use of the grant on the project as applied for;
- (c) payment of grant in tranches, in keeping with the pace of progress of the project;
- (d) where the project is not fully financed by SFS, payment of grant to start only after the grantee's own contribution has been made and exhausted;
- (e) where the TFS funded under SFS fully or in part has established that the project is technically feasible, the grantee must proceed with the detailed design and construction stages for the project according to the works programme as set out in the TFS or as agreed by BDFFA;
- (f) completion of the project and obtaining a DTRC licence with an agreed capacity for operation to start by a date agreed by BDFFA;
- (g) continued use of the facilities sponsored by SFS for the operation of a DTRC licensed by SWD and such ancillary purposes as approved by Government only. For a DTRC on land / premises supported by the Government (e.g. granted or leased by the Government at nil / nominal / concessionary premium or rent), this control should normally remain indefinitely. For a DTRC on private land, this control may be imposed for a period of up to ten years, with the exact duration to be determined on a case-by-case basis taking into account the amount of SFS sponsorship and the total project cost, among other things (e.g. service needs);

- (h) submission of service statistics as required (e.g. output and outcome indicators); and
- (i) submission of annual audited accounts and annual reports on operation to BDFA after completion of the project. Such submission to BDFA should be made yearly for five consecutive years subsequent to the completion of the project. The first annual audited accounts should cover the grantee's compliance with the key terms and conditions in the funding agreement; alternatively, the grantee may prepare separate audited accounts for the project, which should cover the grantee's compliance with the key terms and conditions in the funding agreement. When defining the auditing scope, the grantee should observe the relevant guidelines and standards issued by the Hong Kong Institute of Certified Public Accountants.

5.1.2 BDFA may immediately terminate the funding agreement upon the occurrence of any of the following events –

- (a) the grantee or any of its employees, agents and sub-contractors who are involved in any manner and capacity in the project has engaged or is engaging in any act or activity that constitutes or causes, or is likely to constitute or cause, the occurrence of offences endangering national security or that would otherwise be contrary to the interest of national security;
- (b) the continued engagement of the grantee or the continued implementation of the project is contrary to the interest of national security;
- (c) BDFA reasonably believes that any of the events mentioned in item (a) or (b) above is about to occur;
- (d) the grantee, without full justifications (e.g. occurrence of unforeseeable events beyond control of the grantee) to the satisfaction of BDFA, decides not to proceed with the works project according to the works programme set out in the TFS or as agreed by BDFA – item (e) under paragraph 5.1.1 above; or
- (e) cessation of DTRC operation or failure to maintain a DTRC licence for the facilities sponsored by SFS for the operation of a licensed DTRC – item (g) under paragraph 5.1.1 above.

5.1.3 If the funding agreement is terminated due to any circumstances as set out in paragraph 5.1.2 above, BDFA may at its own discretion withhold any payment to the grantee or reclaim any payment from the grantee. In case BDFA decides to reclaim payment of all or any part of the Grant, the grantee shall repay the funds to BDFA within one month upon receipt of such written notice from BDFA or any other timeline or deadline specified by BDFA. This would apply throughout the relevant period set out in item (g) under paragraph 5.1.1 above.

5.2 Commencement Date and Ongoing Monitoring

5.2.1 The approved project should start within six months from the date of approval of the grant. Special approval of BDFA must be sought if the project cannot start within this specific period.

5.3 Compliance with Laws

5.3.1 The grantee shall ensure that in performing their service and carrying out their functions in expending the grant of SFS, it complies with all Hong Kong laws (including the Law of the People's Republic of China on Safeguarding National Security in the Hong Kong Special Administrative Region and the laws of the Hong Kong in relation to the safeguarding of national security), regulations and by-laws, including without limitation, all those relating to employment terms and conditions.

CHAPTER 6

Procurement

6.1 Preamble

6.1.1 The procurement of works, stores, and other services funded by SFS as well as management of those works, stores and services procured should follow procedures set out below. The grantee, at the time of incurring the expenditures, should exercise due diligence to ensure no over-commitment in spending and expenditure items being limited to those having obtained prior approval.

6.1.2 For the purpose of SFS, –

- (a) stores refer to all articles purchased or acquired by funds granted under SFS, excluding land and buildings;
- (b) services refer to tasks performed by firms / organisations for and on behalf of the grantee;
- (c) works refer to construction and engineering works; and
- (d) where a contract for the supply of stores includes the provision of incidental services / works and the value of the services / works does not exceed that of the stores, the contract shall be construed as a contract for the supply of stores. The same principle applies to a contract for the supply of services or works.

6.1.3 The procurement procedures are designed to achieve the following objectives:

- (a) Public accountability and value for money

Funding granted under SFS is public money. The grantee is accountable to the public for the use of the funds allocated from SFS and should always be prepared to account for its procurement decisions. The grantee is obliged to achieve the best value for money for its procurement and exercise prudence in funding disbursement.

(b) Transparency and open and fair competition

The grantee needs to serve with integrity and in a publicly accountable and transparent manner. The grantee should observe due diligence and avoid conflicts of interest even in the most pressing circumstances. All requirements and specifications of the intended procurement should be clear and made known to all the possible suppliers and contractors. It is necessary to uphold principles of fairness, competition and level playing field in all procurements. All tenderers and suppliers should be treated, and are seen to be treated, on equal footing.

6.1.4 As part of good corporate governance, the grantee is responsible for the setting up and monitoring of its own procurement and stores management system with adequate checks and controls and in accordance with the principles mentioned in paragraph 6.1.3 above. The procedures below provide a general framework for the grantee to establish its own system.

6.2 Quotation and Tender Ceilings

6.2.1 Except as provided in paragraph 6.3.2, the quotation / tender ceilings are as follows:

Value of a single procurement / contract	Quotation / tender requirements
(a) Not exceeding \$5,000	Quotations or tenders may be dispensed with.
(b) Exceeding \$5,000 and not exceeding \$20,000	The grantee should obtain quotations from at least two contractors or suppliers. All verbal quotations should be properly documented or confirmed in writing. Written quotations are however required for the engagement of APs / Consultants / Quantity Surveyor.

Value of a single procurement / contract

Quotation / tender requirements

(c) Exceeding \$20,000 and not exceeding \$50,000

The grantee should obtain written quotations from at least two consultants, contractors or suppliers.

(d) (i) For works exceeding \$50,000 and not exceeding \$2,000,000

(ii) For services exceeding \$50,000 and not exceeding \$700,000

(iii) For stores exceeding \$50,000 and not exceeding \$300,000

The grantee should obtain written quotations from at least five consultants, contractors or suppliers, although some form of tendering (open or restricted where justified to the grantee's Board of Management ²) is generally preferred, especially for higher value contracts.

(e) (i) For works exceeding \$2,000,000

(ii) For services exceeding \$700,000

(iii) For stores exceeding \$300,000

The grantee should obtain tenders from at least five contractors / consultants with tender documents containing all requirements and specifications. Where a marking scheme is adopted for assessment of tenders, this should be drawn up before inviting tenders.

6.2.2 In case engagement of AP(s) / Consultant(s) is involved, the grantee is also required to follow the procedures set out in Section 6.6.

² The Board, as the ultimate governing body, is responsible for monitoring organisational and managerial performance, ensuring appropriate stewardship of the grantee's financial resources, and balancing competing demands on the grantee.

6.3 Procurement Authority and its Delegation

- 6.3.1 The grantee's Board of Management (the Board) may in writing delegate authority to individual staff members at appropriate levels or quotation / tender boards each composing of not less than three persons including at least one senior staff member to conduct procurement and to approve inviting the appropriate number of quotations or tenders specified in paragraph 6.2.1 and accepting the lowest conforming quotation or tender or the conforming offer with the highest overall score (if a marking scheme is used).
- 6.3.2 Subject to paragraph 6.3.3, the Board may itself approve inviting or accepting quotations / tenders from less than the number of specified contractors, or not accepting the lowest conforming offer or the conforming offer with the highest overall score (if a marking scheme is used) for procurement / contract with a value not exceeding \$2,000,000 (for works), \$700,000 (for services) or \$300,000 (for stores). The Board may also in writing delegate authority to individual staff members of appropriate level or tender / quotation boards to approve inviting or accepting quotations from less than the specified number of bidders, or not accepting the lowest conforming quotations or the conforming offer with the highest overall score (if a marking scheme is used) for procurement with a value not exceeding \$1,000,000 (for works), \$350,000 (for services) or \$150,000 (for stores) in accordance with a set of conditions and procedures to be prescribed by the grantee. Please refer to paragraph 7.1.7 on an implication of invoking the exceptional authority mentioned in this paragraph.
- 6.3.3 The justifications for the exercise of the exceptional authority by the Board or others must be properly documented on each occasion. For example, for patented items distributed through a sole agent, justification should be provided as to why the particular brand is required. Documentary proof from the supplier that he is the sole agent of the goods should also be provided.
- 6.3.4 In the exercise or delegation of the procurement authority, the Board should ensure that –
- (a) the staff members or the quotation / tender boards responsible for procurement matters interpret the tender / quotation limits strictly and that they do not evade the limits by dividing procurement

requirements into instalments or by reducing the usual duration of contracts;

- (b) the reasons for inviting particular contractors or suppliers for quotations or tenders should be properly documented; and
- (c) for procurement conducted by individual staff members, the staff who invites the suppliers / contractors for obtaining quotations should not be the same person to accept the offer for the purchase, as far as circumstances permit.

6.4 Procurement of F&E

6.4.1 When preparing applications which cover purchase of F&E and/ or making decisions on purchase of F&E, reference should also be drawn to the following information released by SWD –

- (a) “Reference Furniture and Equipment List for Half-way House Service for Ex-drug Abusers, Non-medical Voluntary Drug Treatment and Rehabilitation Services”³; and
- (b) “Price List of Common Furniture and Equipment” for the reference prices of individual commonly found F&E items.

The aforementioned lists are available from the website of SWD.

6.4.2 Where the items are not included in the Price List under paragraph 6.4.1(b), the amount requested in the application stage should be supported by at least one quotation which the grantee considers to be value for money.

6.4.3 For purchase of IT equipment, the grantee is reminded to have its own corporate governance on IT security policies, standards, guidelines and procedures. Adequate security measures should also be in place to protect the restricted data to be stored in IT equipment and transmitted in the wired and wireless network.

³ The F&E item(s) of the reference lists are presented by categories as examples that are commonly found in different types of SWD-subservent services. The grantee should consider selecting suitable F&E item(s) to meet its actual operational needs.

6.4.4 The following general principles should be observed in purchase of F&E items –

- (a) the F&E items to be purchased should be for providing DTRC services recognised by SWD and satisfying operational needs;
- (b) no excessive or extravagant items should be purchased. Mobile devices are generally not allowed;
- (c) the grantee should ensure that the F&E items to be purchased are value for money and be prudent in using the SFS grant;
- (d) due consideration should be given to legislative requirements, occupational health and safety, environmental friendliness, and recurrent consequences; and
- (e) for replacement of existing F&E items, due consideration should also be given to whether the existing items are still serviceable. Replacement of F&E items purchased for less than five years will normally not be supported.

6.5 Procurement Procedures

6.5.1 In conducting procurement by using the grant from SFS, the grantee should take into account its continuing duty to safeguard national security and not to engage in any acts or activities which endanger national security. The management of the grantee should exercise professional judgment, sensitivity and prudence in assessing any potential national security risks or issues that may be involved in every stage of the procurement. The duty for the grantee to safeguard national security is a continuing one that subsists throughout every stage of the entire procurement process, including the pre-contract award stage and the contract management stage after contract award. The grantee shall ensure that each of their procurement documents incorporates specific clauses to disqualify tenderers and to terminate the contract made pursuant to the procurement in the interest of national security.

6.5.2 The grantee should ensure that the contractors, service providers or suppliers are technically competent and financially capable of carrying out the works, providing the services or supplying the goods. In general, Government lists (on a list of Approved Contractors or Specialist

Contractors for Public Works) or licensed contractors (e.g. Registered General Building Contractors, prescribed building professionals (PBPs) and / or prescribed registered contractors (PRCs) registered with the Building Authority) for any particular type of works should be used to undertake the works.

- 6.5.3 Before and when carrying out works projects, the grantee should comply with all requirements of relevant ordinances and regulations, and any laws and / or requirements stipulated by relevant authorities that may be enacted from time to time. It is the responsibility of the grantee to seek advice and / or seek approval from such authorities.
- 6.5.4 The grantee should ensure that all procurements using the SFS grants should be within the ambit of the respective grants and within the scope set out in the details of individual approval letters. These details should be adequately reflected in the quotation or tender documents.
- 6.5.5 All procurements should be conducted promptly or timely upon approval of the project-specific grant. Fitting-out works should comply with the programme endorsed. Any additional cost due to inflation attributable to the delay of the grantee / APs / Consultants in conducting the quotation or tender exercises will be the grantee's sole responsibility.
- 6.5.6 The grantee should also follow, as far as practicable, the good practice set out in relevant publications issued by the Independent Commission Against Corruption (ICAC) from time to time as uploaded to its official website, including but not limited to the "Best Practice Checklist – Governance and Internal Control in Non-Governmental Organisations" ("Best Practice Checklist").
- 6.5.7 The procedures on handling written quotations are detailed at *Annex 6.1*.
- 6.5.8 For works projects exceeding \$500,000, where some form of tendering is prescribed as generally preferred, the grantee or its APs should submit the following documents to BDFA, copied to ArchSD (Attention : Chief Technical Adviser (Subvented Projects)), for endorsement –
- (a) the draft quotation / tender documents and the list of the proposed contractors and the invitation letter, where applicable, before invitation of quotation / tender (a copy of BDFA's letter approving the grants should also be attached); and

- (b) after assessment of quotations / tenders but before awarding the contract, the quotation / tender recommended by the grantee together with the quotation / tender report and other relevant supporting documents.

The grantee should also refer to *Annex 6.2* on the detailed procedures.

- 6.5.9 A detailed rundown of the tendering procedures for works projects from preparation of tender documents to the approval of tender with special reference to the requirements at paragraph 6.5.8 is prepared at *Annex 6.2*.
- 6.5.10 The tendering procedures for F&E or other services are provided at *Annex 6.3*.

6.6 Engagement of Authorised Persons / Consultants for Works Projects

- 6.6.1 In the context of SFS, APs / Consultants must be engaged for purpose-built projects including those arising from redevelopment / reprovisioning as well as major renovation projects warranting the conduct of TFS (paragraphs 3.2.1 and 3.2.2). Under paragraph 3.2.6, the grantee should conduct a tender exercise to engage an AP / Consultant to supervise the whole project from the TFS stage until completion of the construction works including defects rectification during defects liability period. The grantee should provide for exit arrangements in the agreement to be entered into with the AP / Consultant engaged to cater for any possible situations (e.g. the feasibility of the project not established, or the performance of the AP / Consultant unsatisfactory).
- 6.6.2 In other circumstances, BDFa will decide whether grants will be approved for the grantee to engage APs / Consultants to supervise the projects after consulting ArchSD and taking into account the guidelines in paragraphs 6.6.3 and 6.6.4.
- 6.6.3 In general, the grantee is encouraged to engage AP(s) / Consultant(s) to prepare design, tender documents and to supervise the project works. The following cases normally require the engagement of APs/Consultants:
 - (a) building or drainage works involving the statutory approval of the Building Authority must be handled by an AP;

- (b) alteration of existing air-conditioning and ventilation system where design input from a building services engineer is required;
- (c) design and detailing of a folding sliding partition where an architect is called for;
- (d) design and supervision of kitchen equipment installation where the appointment of architect or building services engineer is required;
- (e) engineer slope inspection and remedial works which require the input of a geotechnical engineer; and
- (f) other major renovation works which incur structural changes.

6.6.4 However, the engagement of an AP / Consultant is normally not considered necessary if the work is simple, straightforward and involves only a fairly small sum of money. Examples are painting / repainting of walls and ceilings, minor repair to doors and fittings, and routine slope maintenance unless they are subject to requirements of the relevant ordinances / regulations and other requirements and / or laws enacted from time to time.

6.6.5 The normal duties of the Project APs / Consultants are detailed in *Annex 6.4*.

6.6.6 The points to note in the selection of APs / Consultants are detailed in *Annex 6.5*.

6.6.7 The fees and payment terms for APs / Consultants should adopt the following principles:

- (a) the fee shall be on a lump sum basis;
- (b) the payment terms (including a schedule of fees showing the breakdown of fees at each stage of the project) shall be stipulated in the relevant consultancy agreements. Reference can be made to the Handbook issued by the Architectural and Associated Consultants Selection Board, which is available from the website of ArchSD;
- (c) engagement of Quantity Surveying (QS) Consultant independent from APs / other Consultants engaged to facilitate prudent financial control on the project with proper tender documentation and final

account submissions will normally be required for an estimated fee above \$1 million. For the appointment of QS Consultant, a separate and independent consultancy agreement should be used and the fee so involved in engaging the QS Consultant should be covered in the professional fees allowed in the approved estimate under the same application;

- (d) time charge payment method should be avoided;
- (e) the total professional fees for a project should not exceed 10% of the total cost of works which include –
 - (i) preliminaries at 10% of the cost of works where this exceeds \$800,000 (15% of works if the cost does not exceed \$800,000); and
 - (ii) contingencies at 10% of the cost of the works plus preliminaries;
- (f) the APs / Consultants and QS Consultant may claim up to 95% of their approved professional fees upon the issuance of the Certificate of Practical Completion. For the remaining 5%, payment will be retained until completion of the final account to the satisfaction of BDFA in consultation with ArchSD and the determination of the final admissible project cost as stated in paragraph 7.2.10.

6.6.8 The procurement of AP's / Consultant's services should follow the principle of public accountability, value for money, transparency and fair competition. The grantee should follow the procurement and tender / quotation procedures in Sections 6.1 to 6.3 and note the following:

- (a) upon receipt of BDFA's letter approving grants for the engagement of an AP / Consultant to supervise the project, the grantee should proceed to select the appropriate AP / Consultant for the project. The bidding documents and invitation letter as well as the returned fee proposals should be forwarded to BDFA for endorsement in consultation with ArchSD before the issue of invitation and the award of contract respectively;
- (b) for consultancy or professional services with a value not exceeding \$500,000, a quotation exercise based on a description of services

required, details of the project and price competition basis will be acceptable;

- (c) for consultancy or professional services with a value exceeding \$500,000, the grantee should conduct a selection exercise on the basis of the assessment of both technical and fee proposals with the aid of a pre-determined marking scheme. The grantee will be notified of the detailed procedures upon receipt of the approval letter to ensure they are completely familiar with the procedures.

6.6.9 The grantee should adopt the following procedures in planning geotechnical engineering projects:

- (a) where study / works required are mainly geotechnical (e.g. slope investigation and / or repair), a geotechnical engineering consultant should be appointed to handle the project. To avoid multiple consultancies / fees, the grantee should ascertain that the consultant can process the necessary submissions to the Buildings Department through either himself / herself (if an AP), an AP in his / her firm, or an AP in an associated firm. Any of these arrangements are acceptable, provided that no additional fees are charged by the consultant or AP as a result;
- (b) where the grantee is served with a Dangerous Hillside Order demanding a slope investigation, the grantee should submit to BDFA a minimum of five quotations on fee proposals obtained from the list of geotechnical engineers issued by the Geotechnical Engineering Office of the Civil Engineering and Development Department (CEDD) who have expressed interest to submit fee proposals. The grantee will recommend the most appropriate fee proposal from the five proposals to BDFA for approval in consultation with ArchSD;
- (c) upon being notified of the approval of the consultant selected, the grantee should appoint the consultant for the job. The consultant is required to follow the list of supported items in the approval letter;
- (d) the consultant should invite tenders / quotations from qualified contractors from the Buildings Department Specialised Contractors' Register for Ground Investigation Field Works, Site Formation Works or other appropriate categories to carry out the tests and field works and other works as required, in accordance with the procedures set out above;

- (e) the consultant should be reminded that the Geotechnical Engineering Office maintains a collection of published and unpublished documents at the Civil Engineering Library, including ground investigation reports, which may be relevant to the study;
- (f) 5% of consultant fee will be retained until (i) Certificate on Completion (Form BA14) has been accepted by the Buildings Department; (ii) contractors' final accounts settled; and (iii) as-constructed drawings prepared, submitted and accepted, whichever is applicable;
- (g) The grantee is encouraged to refer to the Layman's Guide to Slope Maintenance, which can be obtained free of charge from the Geotechnical Engineering Office of CEDD and the District Offices of the Home Affairs Department. A more comprehensive publication "Guide to Slope Maintenance (Geoguide 5)" published by the Geotechnical Engineering Office is available for sale at the Government Publications Sales Unit of the Information Services Department and accessible from the website of CEDD.

6.7 Conflict of Interest

- 6.7.1 A conflict of interest is a situation in which the interest of the grantee competes or is in conflict with the financial or personal interests of a Management Board or staff member, or their family members or close personal friends. A conflict of interest may be actual, potential or perceived.
- 6.7.2 The grantee should remind and require Management Board or staff members involved in the procurement process (including engagement of AP(s) / Consultant(s)) to avoid any conflict of interest. If this is unavoidable, the Management Board or staff members concerned should make the relevant declaration for a management decision as to whether they should abstain from the procurement exercise.
- 6.7.3 The grantee should set up its own system for the declaration of interest which should include the essentials detailed in the "Best Practice Checklists" mentioned in paragraph 6.5.6 and in the other relevant publications issued by ICAC from time to time as uploaded to the website of ICAC. Any conflict of interest involved by board members or staff of

the grantee, if not properly managed, will lead to a breach of public trust, tarnish the goodwill of the grantee, or even constitute a criminal offence under the Prevention of Bribery Ordinance.

Chapter 7

Financial Control

7.1 Budget Variations

- 7.1.1 For works contracts, the grantee / APs may only approve variations at / below \$800,000 and below 25% of the total approved grant, of the following nature –
- (a) variations which are necessary for the completion of the works within the scope of the funding approval, subject to the availability of funds in the contract sum; or
 - (b) variations which are within the approved scope of works and would not incur additional cost.
- 7.1.2 For F&E contracts / items, variations which are within the original scope of the contract / cost item, and are at / below \$800,000 and below 25% of the total approved grant, are normally permitted. The grantee should observe any relevant guidelines issued by SWD on F&E items.
- 7.1.3 For all variations above \$800,000 (although the variations may involve an amount below 25% of the total approved grant), the grantee is required to obtain the prior approval of the BDFa Secretariat before issuance; for variations at or below \$800,000 but involving an amount at / above 25% of the total approved grant, the grantee is also required to obtain prior approval of BDFa before issuance.
- 7.1.4 APs / Consultants shall be required in consultancy agreements to obtain the prior approval of the employer (i.e. the grantee) before issuing major variations which may commit the grantee to additional expenditure of a value estimated to be above \$800,000, with the exception in emergencies where the order of the variations is essential and it is impracticable to seek such prior approval. The grantee should immediately inform the BDFa Secretariat of variations made without such prior approval. BDFa / the BDFa Secretariat will determine the subventability of such variations in consultation with ArchSD.
- 7.1.5 For monetary and extension of time claims above \$800,000 or at / above 25% of the total approved grant, the grantee is required to obtain the prior

approval of BDFA. BDFA will determine the subventability of such claims in consultation with ArchSD.

- 7.1.6 The variations made, the approvals sought and the justifications for the variations made should be properly documented and reported to the BDFA Secretariat on a quarterly basis. The BDFA Secretariat may seek further information or clarification if needed. If there is any doubt over the exercise of power of the approving authority, the grantee should contact the BDFA Secretariat at once.
- 7.1.7 Where there is a subsequent increase in cost due to circumstances beyond the control of the grantee (e.g. the lowest conforming bid or the conforming offer with the highest overall score (if a marking scheme is used) in a tender exercise is higher than the approved estimate), it may apply for supplementary grant. Prior written approval of BDFA must be obtained. A supplementary grant will however normally not be considered if the exceptional authority of paragraph 6.3.2 of the Manual has been invoked while conducting the quotation / tender exercise concerned, unless the prior agreement of BDFA / the BDFA Secretariat has been obtained for the acceptance of that particular quotation / tender. The grantee must not assume however that a supplementary grant will always be approved.

7.2 Payment of Grant

- 7.2.1 Payment of the SFS grant is normally made on a reimbursement basis.
- 7.2.2 Payment of the approved grants from SFS can be made by the Treasury direct to the grantee's bank account if the grantee has completed the necessary authorisation. Otherwise, a cheque will be issued to the grantee.
- 7.2.3 Where a project is not fully financed by SFS, the grantee should use up its contribution before calling on the allocation from SFS. The grantee should submit evidence to show that the contribution has been used up.
- 7.2.4 When the grantee is unable to meet the expenses first, it may apply for direct payment from SFS. Full justification must be given together with an undertaking that receipts of works and purchases would be submitted to BDFA as soon as possible, and in any case not later than one month after the receipt of payment from SFS.

- 7.2.5 The project should be entered as a separate item into the audited financial statements of the grantee. Please also refer to paragraph 5.1.1(i) on the submission of the annual audited accounts.
- 7.2.6 The grantee should submit the following documents to BDFA for payment claim:
- (a) duly completed payment claim form (see *Annex 7.1*) signed by a designated person of the grantee. He / she should be the project-in-charge and a senior officer of the grantee (e.g. organisation head or department head, etc.). In any time, there should be no more than two designated persons in the grantee. Any change to the designated person should be notified to the BDFA Secretariat in writing at least seven (7) days before such change; and
 - (b) original plus one copy of all the receipt / invoices related to the claim. The original receipt / invoices will be kept by BDFA.
- 7.2.7 The payment claim should be submitted to BDFA within the time specified in the approval letter. The normal time frames are detailed in *Annex 7.2*. Failure to submit the payment claim within the time specified may result in the unclaimed balance of the grant to be returned to SFS.
- 7.2.8 Where circumstances justify (e.g. when the project is found not up to the requisite standard or where the original receipts, invoices or certificates submitted are found not acceptable), BDFA may withhold or reclaim any payment from the grantee.
- 7.2.9 Please refer to Section 6.6 regarding the release of payment to APs / Consultants.
- 7.2.10 At the final account stage for works and F&E contracts, the detailed contract account prepared by the AP / Consultant with full supporting information detailed at *Annexes 6.4.1 and 6.4.2* should be forwarded to the BDFA Secretariat. Final payment will be made only after ArchSD or BDFA has examined the final account and recommended a project cost admissible for the SFS grant. If the final admissible project cost is smaller than the amount released from the SFS grant, the grantee has to return the excess amount to BDFA. Any deficit exceeding the grant will however be borne by the grantee. In vetting the final account, site inspections for the completed works may be made by staff of ArchSD or

BDFFA. To facilitate such site inspections, the grantee should provide full addresses of the premises concerned and details of contact person(s) and telephone number(s) upon submission of final account. The grantee's staff should be available on site to indicate to staff of ArchSD or BDFFA the exact locations of all completed works and F&E items. Information on all variations and additional works should be provided in detail and preferably with sketch drawings.

- 7.2.11 The final contract statement, excluding retention money, should be submitted to the BDFFA Secretariat not later than three months after the expiry of the defects liability period of the project. Early finalisation of account is to the mutual benefit of the grantee, the AP and BDFFA. All parties should pledge to co-operate fully in this respect.
- 7.2.12 Release of payment claims of the contract sum at the practical completion stage will be set at 95%. The remaining 5% of the contract sum will be released upon BDFFA's approval of the final account of the contract based on the recognised works items.
- 7.2.13 In cases where no AP / Consultant is engaged, payment will be made on reimbursement basis up to 95% of the grant, which will be supported by original receipts and invoices and an undertaking submitted by the grantee that the works are in accordance with the scope and standard of works approved by BDFFA. The payment of the remaining 5% of the grant will be made against original receipts and invoices upon the advice of ArchSD.
- 7.2.14 For projects with a final admissible total project cost above \$8 million, if the final admissible total project cost is lower than the approved project value, the corresponding contributions by BDFFA and the grantee will be adjusted downward to 90% and 10% respectively of the final admissible total project cost (subject to a minimum BDFFA contribution of \$8 million). The amount paid by the grantee in excess of its required contribution will be returned to the grantee.

7.3 Receipt and Payment of Stores / Fixed Assets

- 7.3.1 Upon receipt of the goods, the officer responsible should ensure that inspection (and testing where appropriate) would be conducted as soon as practicable. Discount for prompt payment should be taken into consideration in the settlement of the bills.

7.4 Records, Stock Taking and Verification

- 7.4.1 Stores are classified into inventory and non-inventory items. Inventory items are generally stores of permanent or non-consumable nature and with a unit cost at or above \$1,000 at the time of purchase, while all the other stores are non-inventory items.
- 7.4.2 Each inventory item procured using the SFS grant should be labelled with an assigned serial number and recorded in the inventory record or fixed asset register within a reasonable period after procurement as stipulated by the management.
- 7.4.3 An inventory record should be kept and should contain the following information:
- (a) description of the item;
 - (b) the assigned serial numbers;
 - (c) physical location;
 - (d) date of acquisition;
 - (e) cost of acquisition and source of fund to acquire the assets; and
 - (f) date, reasons and authorisation for scrap or disposal.

Reference to file / document records should be entered where appropriate.

- 7.4.4 Routine physical checkings of inventory should be conducted at least once a year. The results and records of the checkings should be retained properly. Any discrepancies found should be investigated and reported to the management.
- 7.4.5 While the routine checkings of inventory may be done by staff of the DTRC, the grantee's headquarters management should undertake random / surprise / supervisory physical checkings to ensure the completeness and accuracy of the record.

CHAPTER 8

Other Issues

8.1 Sponsorship

- 8.1.1 The grantee may receive funding from other sources (such as third party sponsorship, funding schemes operated by other Government bureaux/departments or other NGOs, etc.) for the projects (please refer to paragraph 4.1.6 on requirements about compilation of project breakdown for details). The grantee is required to report to the BDFA Secretariat when accepting any other sponsorship for the projects supported by SFS. Sponsorship must be properly accounted for in the final account to be submitted to the BDFA Secretariat. The grantee should be cautious in accepting sponsorship and shall ensure that the acceptance of such sponsorship will not result in any liability on the grantee and should not bring disrepute to BDFA; otherwise, the grantee shall be fully responsible for any liabilities caused therefrom. The grantee should consult the BDFA Secretariat in case of doubts.
- 8.1.2 The grantee should ensure that there would be no double-benefit on each and every individual item, i.e. the SFS grants should not be used for covering any item which has been or will be funded or sponsored by other sources.
- 8.1.3 The grantee shall not accept sponsorships, donations or advertisement from, nor be in association in any form or manner with –
- (a) any person in tobacco or tobacco-related industry;
 - (b) events specifically aimed at young people under 18, any person in the alcohol industry; or
 - (c) any organisations deemed illegal to operate in Hong Kong.

8.2 Keeping Records of the Projects

- 8.2.1 An asset register should be maintained by the grantee for verification of the existence and completeness of the assets purchased with the SFS grants (please also refer to Section 7.4). It is the responsibility of the

management of the grantee to institute internal controls to safeguard the assets, detect fraud or irregularities, and ensure reliability of records and compliance with relevant ordinances and regulations, including those enacted from time to time.

- 8.2.2 The books of accounts and all other relevant records and information related to the SFS grant should be retained by the grantee for at least seven years after the completion of the projects or release of the final payment or in accordance with the prevailing statutory requirements whichever the longer. These books and records should, at all reasonable time, be available for inspection by any authorised staff of the BDFA Secretariat and the Audit Commission.

8.3 Annual Estimates of Cash Requirements for Approved Projects

- 8.3.1 The grantee should submit the yearly project estimates following immediate approval of grant to facilitate committing necessary funding in respect of approved grants of over \$500,000. The grantee is required to provide accurate and realistic estimates, in consultation with their APs / Consultants, suppliers, and contractors, lest SFS funds otherwise available for allocation to new projects would be unnecessarily locked up. The grantee should conduct yearly review of the project estimates and inform the BDFA Secretariat should there be any change.

8.4 Acknowledgement of SFS Grants

- 8.4.1 The grantee shall give appropriate acknowledgement to BDFA in the implementation of the approved projects, including in all publicity or published materials associated with the projects.
- 8.4.2 Assets purchased with the SFS grant shall be vested in and belong to BDFA. BDFA has a right to require the grantee to return them upon project completion.
- 8.4.3 For buildings fitted out / renovated / constructed with the SFS grant, the grantee is required to install a commemorative plaque in a prominent place within the premises of the project. The plaque should be of a reasonable size and inscribed with such words as “This centre / building was fitted out / renovated / constructed with donation from the Beat Drugs Fund” in both English and Chinese and the BDF logo has to be displayed.

The design and wording on the plaque should be submitted to BDFA for approval.

8.4.4 Prior approval of BDFA is required if the grantee wants to change an approved project's implementation plan or overall budget.

8.4.5 Where circumstances justify, BDFA may withhold or reclaim any payment from the grantee if it fails to observe the conditions of grant.

Procedures for Purpose-Built Projects funded under SFS

<u>Actions</u>	<u>Remarks</u>
I. Identify Demand and Scope of Works	
1. The applicant identifies demand for a works project (please refer to Section 3.1 on definition of works projects), having regard to the service need and justifications.	
The applicant proposes and ND, in consultation with SWD / DH as appropriate, assesses the scope of works, on the basis of the following information:	Discussions between the applicant and ND / DH / SWD to define the scope of works.
(a) location; (b) land grant / lease modification; (c) development programme; (d) rough indication of cost; (e) contribution from sponsor, if any; (f) recurrent financial implications; and (g) temporary reprovisioning if required.	
II. Preliminary	
2. Policy support from ND for launching the works project.	
3. The applicant submits proposal on technical feasibility study (TFS), consultants' services and fees for ArchSD's technical comments and the BDFA Secretariat's approval.	A TFS will include a clear definition of the scope of the project, topographical survey, site investigation, an assessment of the need for an environmental impact / risk assessment at the detailed design stage, site constraints, cost estimate, preliminary schematic design, general layout drawings, works programme and assessment of accessibility of site, availability of utility services, etc. The extent of the study will vary according to individual projects.
If necessary, the applicant may apply for SFS funding for engaging an AP / Consultant to carry out the preparatory work prior to conducting TFS.	The preparatory work includes the formulation of a project brief covering the project scope and nature, justifications, implications concerning the environment / general utilities / slope

Actions

Remarks

maintenance / tree preservation / building safety / fire safety / transport / access road / land / visual impact / landscape / other relevant issues to be studied under the TFS; preparation of site sketches and a master layout plan; preliminary project estimates; preparation (including subsequent amendments) of tender documents for engaging an AP / Consultant to supervise the whole project from the TFS stage until completion of the construction works; and provision of other technical assistance needed by the applicant in applying for the SFS grant for carrying out the TFS. The applicant should follow the procedures in Chapter 6 in procuring the AP / Consultant services for the preparatory work.

4. The BDFA Secretariat seeks ACAN's view and then BDFA's funding commitment for the applicant to engage an AP / Consultant to carry out the preparatory work. The BDFA Secretariat then notifies the applicant of the decision accordingly.

5. The applicant makes submissions completed by the AP / Consultant under the preparatory work to the BDFA Secretariat for approval in consultation with ArchSD. The BDFA Secretariat then notifies the applicant of the decision accordingly.

ArchSD gives technical comments on the project estimates and scope of the TFS from subventability point of view. There is no guarantee that the next stage will proceed.

If necessary, the applicant may at the same time apply for the SFS grant to engage an AP / Consultant to carry out TFS and to supervise the whole project from TFS stage until completion of construction works including defects rectification during defects liability period.

6. The BDFA Secretariat seeks ACAN's view and then BDFA's funding commitment for the applicant to engage an AP / Consultant to carry out TFS and to supervise the whole project. The BDFA Secretariat then notifies the applicant of the decision accordingly.

<u>Actions</u>	<u>Remarks</u>
7. The successful applicant (the grantee) approaches the Lands Department (District Lands Office) for land grant / lease modification if required.	ND comments on circulation from the Lands Department. ND / SWD / DH / the grantee conducts public consultation.
8. The Lands Department gives approval-in-principle to the land grant / lease modification.	While the Lands Department is in the course of processing land grant / lease modification, the grantee could, in parallel, proceed to the TFS stage.
III. Feasibility Study (in parallel with land matters)	
9. The grantee appoints AP / Consultant to carry out the TFS and to supervise the whole project.	If the TFS is funded by SFS, the grantee should follow the procedures set out in Section 6.6 for engagement of APs / consultants.
10. Upon completion of TFS, AP / Consultant submits report to the BDFA Secretariat for approval in consultation with ArchSD. The BDFA Secretariat then notifies the grantee of the decision accordingly.	
IV. Project Design and Formal Approval of Funds	
11. The grantee instructs AP / Consultant to prepare detailed design for submission to ArchSD for technical comments. The grantee then seeks BDFA's approval for funding commitment of the project (e.g. cost for construction, fitting-out and a provisional sum for furniture and equipment).	It is the sole responsibility of the grantee to seek all necessary statutory approval from the relevant authorities where applicable.
12. The BDFA Secretariat seeks ACAN's view and then BDFA's funding commitment of the project. The BDFA Secretariat then notifies the grantee of the approved funding for the project.	
V. Tendering	
13. The grantee instructs AP / Consultant to prepare tender documents and pre-tender estimates. The grantee shall also engage an independent QS Consultant to prepare tender documents and pre-tender estimates. The above deliverables will all be submitted to ArchSD for technical comments and the BDFA Secretariat for approval.	The BDFA Secretariat will monitor project development.

<u>Actions</u>	<u>Remarks</u>
14. AP / Consultant calls tenders and submits report to the BDFA Secretariat / ArchSD with recommendation and budget reconciliation.	Tenders deposited at Public Works Tender Box will be collected by AP / Consultant for preparation of tender report and budget reconciliation. If supplementary funding is required to meet the cost difference between the recommended tender sum and approved budget, in consultation with ArchSD, BDFA's approval will be sought as appropriate.
15. The BDFA Secretariat approves the award of contracts.	
16. The grantee awards contracts to contractors concerned.	The grantee should have obtained the Lands Department's approval for site possession and consent from the Building Authority (where applicable) at this stage to allow construction works to commence.
VI. Construction	
17. AP / Consultant supervises and monitors progress of construction.	The BDFA Secretariat will monitor progress of project. In case of major changes in scope of works, the BDFA Secretariat's prior approval is required before actual works commence.
VII. Completion and Final Account	
18. Contractor hands over the completed project to the grantee.	At fitting-out stage, the grantee submits furniture and equipment list for the BDFA Secretariat's vetting to determine the actual support level of funding out of the provisional sum granted. If additional funding is required, approval will be sought as appropriate.
19. AP / Consultant and QS prepare final account and fee accounts for ArchSD's consideration.	
20. ArchSD comments on final account and recommends the admissible project cost for the BDFA Secretariat's consideration.	

**Standard Template for Invitation of Fee Proposals
for Preparatory Work for Lodging SFS Application**
[Please fill in the relevant information as appropriate]

[Sample Letter]
[To be typed on paper with the applicant's letterhead]

Letter of Invitation

Our Reference : [Ref. No.]

[Name and address of consultant to be invited]

[Date]

Dear Sir/Madam,

**Consultancy Services for
Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons
Treatment and Rehabilitation Centres (SFS) Application**
[TITLE OF PROJECT]

You are invited to submit a lump sum fee proposal for the above consultancy services.

Your submission shall be made in accordance with the following enclosed documents :-

- (a) Description of Project (**Appendix 1**); and
- (b) Fee Proposal Form (**Appendix 2**).

Your fee proposal should be submitted directly to my office at [the applicant's address] in plain envelopes on which the heading "Fee proposal for [title of project]" should be marked, before [time], [date]. Late submissions will not be considered.

The time for completion of the consultancy services is [duration] days from the date of commencement. It is anticipated that the date for commencement of the services will be sometime during [month], [year].

After the consultancy services have been completed in accordance with the "Description of Project" and to the satisfaction of [name of the applicant] (the applicant) and the Beat Drugs Fund Association (BDFA) (in consultation with the Architectural Services Department), and upon the presentation of your invoice, payment will be made within 30 days of the date of such presentation.

The applicant shall have the right to disclose to any third person, whenever it considers appropriate or upon request by BDFA and any third party (written or otherwise), and in such manner as it deems fit –

- (a) the fees, costs and expenses payable by the applicant for engaging the selected consultant; and
- (b) the fee proposal submitted by the selected consultant.

The applicant is not obliged to accept the lowest fee proposal or any proposal made, and accepts no financial liability for your costs in submitting a proposal. In addition, the applicant will reject bids which are considered to have been priced unreasonably low.

You are required to confirm in your submission letter that you agree to abide by your Fee Proposal for a period of **Sixty (60)* days** from the due date for submission of Fee Proposal, and it shall remain binding upon you and may be accepted at any time before the expiry of that period.

You shall submit a duly signed letter for anti-collusion in the form set out at **Appendix 3** to this letter. The letter shall be signed by a person authorised to sign contracts/agreements on the consultant's behalf.

A site visit may be arranged upon request by the consultant to fully acquaint himself with all conditions likely to affect the works and the services.

To uphold the objectives of public accountability, transparency, and open and fair competition, the successful bidder (including its associated companies, associates or associated persons or in joint ventures with others) will not be permitted to participate in the tender exercise for the Authorised Person / consultancy / professional services for conducting (technical feasibility study cum) # detailed design and construction for this project.

Your failure to comply with any requirement in this letter and the enclosed documents may render your submission invalid.

Yours faithfully,

[Signature]

[Name of the applicant's Representative]

for and on behalf of

[Name of the applicant]

c.c. Narcotics Division– with enclosure

* The applicant may set a longer validity period, depending on the nature and complexity of the consultancy assignment

Delete as appropriate

Description of Project (to be completed by the applicant)

1. Description of Works

The site of the Works is located at : _____

Target date of SFS Fund application : _____

Scope of Works :

(Please tick the appropriate box.)

a. Project nature :

- Major Renovation
- Reprovisioning
- Redevelopment
- Simple renovation (not requiring technical feasibility study)
- Others :

b. Type of premises / site:

- Government land / premises
- Rented private property
- Self-owned property
- Others :

c. Area of premises/site : _____m²

d. Approximate Works area :

- 100%
- < 100% and ≥ 75%
- < 75% and ≥ 50%
- < 50% and ≥ 25%
- < 25 %

e. Anticipated works:

Location (e.g. 3 dormitories, 5 activities rooms, 6 toilets, 1 kitchen, etc.)	Anticipated Works (e.g. painting wall and ceiling, replacing floor finishes, installing cabinets, upgrading electrical works, gas installation, drainage improvement works, etc.)

2. Scope of Consultancy Services

[Note: the applicant shall amend the following paragraphs to suit the required works.]

Stage 1 : Feasibility of the Works and Project Brief

- a. Liaise with relevant government departments, landlords, utilities companies, other relevant bodies and the applicant to obtain all necessary information including drawings, specifications, site records, site surveys, warranties, etc. wherever they are available.
- b. Carry out site inspection and surveys to the existing buildings to obtain all necessary information so as to determine the detailed scope of works.
- c. *(for purpose-built projects including redevelopment, re-provisioning and major renovation projects)* Submit a project brief covering the project scope and nature, justification, implications concerning the environment / general utilities / slope maintenance / tree preservation / building safety / fire safety / transport / access road / land / visual impact / landscape / other relevant issues to be studied under a technical feasibility study (TFS). Prepare site sketches and a master layout plan.
- d. *(for other projects)* Submit a project brief covering the project scope and nature, justifications and technical implications to be addressed. Prepare preliminary design.
- e. Advise the applicant on any foreseeable project constraints and risks, and the need for any special survey, test, investigation, diversions of utilities, etc.

Stage 2 : Project Estimates and Tender Documents

- a. Review and finalise the project brief for the applicant's agreement.
- b. Prepare preliminary project estimates. If some works are funded by the applicant, they should be separated from the works expected to be funded under SFS in the estimates.
- c. *(for purpose-built projects including redevelopment, re-provisioning and major renovation projects)* Prepare tender documents for engaging an Authorised Person (AP) / Consultant to carry out TFS and to supervise the whole project from TFS to detailed design and construction (including subsequent amendments).
- d. *(for other projects)* Prepare tender documents for engaging AP / consultancy / professional services for conducting detailed design and construction (including subsequent amendments).

Stage 3 : Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (SFS) Application

- a. Assist the applicant in preparing an SFS application in accordance with the SFS Manual published by the Secretariat of the Beat Drugs Fund Association (BDFA).
- b. Arrange and attend meetings with parties concerned (including but not limited to the BDFA Secretariat and the Architectural Services Department (ArchSD) as BDFA's technical adviser) for the assessment of the application.
- c. Respond to the comments from the BDFA Secretariat and ArchSD, and revise as appropriate the project brief, project estimates, tender documents, other submissions or the SFS application according to the comments.

Consultancy Services

[Title of Project]

Fee Proposal Form

To : [Name of the applicant]

I/We submit our Fee Proposal as follows:

Fee Basis : Lump Sum

Total Fee : _____ (HK\$ _____)

All out-of-pocket expenses including travel, photocopying, printing drawings, lithography and any charges levied by the Government, utilities companies and other relevant parties, are deemed to be included in the Total Fee.

Signed

Name

For and on behalf of

Date

To: [Name of the applicant]

Date: _____

Dear Sir/Madam,

[Agreement Title]
Letter of Anti-collusion Undertaking

[I/We]¹, [(Name of the consultant) of (Address of the consultant)]² refer to [my/our]¹ Fee Proposals for the above Agreement.

[I/We]¹ confirm that, before [I/we]¹ sign this letter, [I/we]¹ have read and fully understand this letter.

[I/We]¹, represent and warrant that in relation to the Fee Proposal for the above Agreement:

- (i) [I/We]¹, other than the Excepted Communications referred to in the last paragraph of this letter, have not communicated and will not communicate to any person other than the Employer the amount of the proposed fee in the Fee Proposal or any part thereof until [I/we]¹ have been notified by the Employer of the outcome of the bidding exercise;
- (ii) [I/We]¹ have not fixed and will not fix the amount of the proposed fee in the Fee Proposal or any part thereof by arrangement with any person;
- (iii) [I/We]¹ have not made and will not make any arrangement with any person as to whether [I/we]¹ or that other person will or will not submit Fee Proposal; and
- (iv) [I/We]¹ have not otherwise colluded and will not otherwise collude with any person in any manner whatsoever in the bidding process

[I/We]¹ shall indemnify and keep indemnified the Employer against all losses, damages, costs or expenses arising out of or in relation to any breach of any of the representations and/or warranties above, including but not limited to damages for delay, costs and expenses of re-tendering and other costs incurred.

In this letter, the expression “Excepted Communications” means [my/our]¹ communications in strict confidence with:

- (i) [my/our]¹ own insurers or brokers to obtain an insurance quotation for computation of the proposed fee in the Fee Proposal;
- (ii) [my/our]¹ sub-consultants to solicit their assistance in preparation of the Fee Proposal; and
- (iii) [my/our]¹ bankers in relation to financial resources for the Agreement.

Signed for and on behalf of [name of the consultant] by [name and position of the signatory]³:

Name of Witness:

Signature of Witness:

Occupation:

[Guidance Notes:

1. *Delete as appropriate.*
2. *Where the consultant comprises two or more persons or companies acting in partnership, joint venture or otherwise, this part in square brackets should be expanded to include the respective names and addresses of such persons or as the case may be companies.*
3. *Where the consultant comprises two or more persons or companies acting in partnership, joint venture or otherwise, all such persons or, as the case may be, companies must sign. The signatory for each of such persons or companies shall be a person authorised to sign contracts on behalf of that person or, as the case may be, company.]*

(Delete as appropriate.)*

[Sample Letter]

[To be typed on paper with the grantee's letter head]

Letter of Acceptance to Authorised Person / Consultant

[Name and address of AP / Consultant]

Date : []

Your Reference : []

Our Reference : []

Dear Sirs,

**Consultancy Services for
Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons
Treatment and Rehabilitation Centres (SFS) Application
[NAME OF PROJECT]**

I wish to inform you that based on your Fee Proposal(s) submitted on [Date of Submission], you have been selected for the award of the above consultancy services.

The persons responsible for the project are:

[Employer's Representative - Name and Title]

[Consultant - Company name, if already known]

Please use the full project title in all official documents and correspondence.

The following together with this letter shall form part of the consultancy agreement :

1. Description of Project
2. Schedule of Fees
3. [Relevant correspondence and dates to be listed here, e.g. the Consultant's proposal and attachments]

To uphold the objectives of public accountability, transparency, and open and fair competition, upon forming the consultancy agreement, you (including your associated companies, associates or associated persons or in joint ventures with others) will not be permitted to participate in the tender exercise for the Authorised Person / consultancy / professional services for conducting (technical feasibility study cum) # detailed design and construction for the same project.

Yours faithfully,

[Name]
[Name of the grantee's representative]
For and on behalf of
[Name of the grantee]

c.c. [Architectural Services Department] (Attn: [Name and Post of Officer] - (with enclosure))
[Narcotics Division] (Attn : [Name and Post of Officer] - (with enclosure))

Delete as appropriate

本欄無須填寫
For Official Use Only
檔案編號
Reference No:

禁毒基金藥物倚賴者治療
康復中心特別撥款計劃
申請表

Beat Drugs Fund Special Funding Scheme for
Drug Dependent Persons Treatment and Rehabilitation Centres
Application Form

申請機構必須參閱《禁毒基金藥物倚賴者治療康復中心特別撥款計劃手冊》（《手冊》），並於《手冊》指定的截止日期以前（如適用）送交香港金鐘道66號金鐘政府合署高座30樓禁毒基金會（由禁毒處轉交）。

This form should be completed with reference to the “Manual of Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres” (the Manual). It should be returned to the Beat Drugs Fund Association, c/o Narcotics Division, 30/F, High Block, Queensway Government Offices, 66 Queensway, Hong Kong before the deadline stipulated in the Manual (if applicable).

(可用中文或英文填寫。 This form can be completed in Chinese or English)

I. 計劃名稱
Project Name

中文
Chinese: _____

英文
English: _____

II. 申請機構
Name of applicant

中文
Chinese: _____

英文
English: _____

地址
Address: _____

電話: _____ 傳真: _____ 電郵地址: _____
Tel. No. _____ Fax No. _____ E-mail address _____

負責人: _____ 職銜: _____
Responsible Person: _____ Post Title: _____

負責人香港身份證號碼:
HKID Card No. of Responsible Person: _____

負責人手提電話號碼:
Mobile Phone No. of Responsible Person: _____

負責人電郵地址:
E-mail address of Responsible Person: _____

可就申請提供更詳盡資料的人士 (如與上述填報的負責人不同)
Person to be contacted for further information of the application (if different from the responsible person)

姓名: _____ 職銜: _____
Name _____ Post Title _____

地址: _____
Address _____

電郵地址 E-mail address _____

電話: _____ 傳真: _____
Tel No. _____ Fax: _____

III. 申請機構背景

Background Information of Applicant

(i) 請註明是否根據下列條例註冊:

Please state whether it is registered under -

社團條例 公司條例
the Societies Ordinance the Companies Ordinance

其他 (請註明)
Others (please specify) _____

註冊年份 (Year of Registration: _____)

(ii) 請註明是否《稅務條例》第88條所指的慈善機構:

Please state whether the applicant is a charitable organisation for the purpose of section 88 of the Inland Revenue Ordinance -

否 是 (請提供由稅務局發出的IR表格第302號)
No Yes (please provide Form 302 issued by the
Inland Revenue Department)

(iii) 治療中心的性質

Nature of the treatment centre

資助 Subvented

自負盈虧及非牟利 Self-financing and non-profit-making

(iv) 治療中心可收納的藥物倚賴者名額

Capacity of the treatment centre for drug dependent persons _____

(v) 治療中心過去三年入住的藥物倚賴者人數

No. of drug dependent persons residing in the centre in the past three years

年 入住人數

Year No. of residents

(vi) 過去三年入住中心藥物倚賴者服務使用率

Rate of placement occupancy of the drug dependent persons residing in the centre in the past three years

年 服務使用率

Year Placement occupancy

(vii) (a) 治療中心過去三年完成戒毒治療和康復課程，並於離開中心一年內不復吸的藥物倚賴者人數

No. of patients who completed the drug treatment and rehabilitation program and is abstinent from drugs for one year after discharge from the centre in the past three years

年 人數

Year No of patients

- (b) 在過去三年每年在治療中心完成戒毒治療和康復課程的人數
No. of discharges from the centre every year in the past three years

年 人數
Year No of patients

- IV. (i) 計劃預計開始時間
Expected Start Date of Project: _____
(日/月/年) (Day/Month/Year)
- (ii) 計劃進行年期
Project Duration: _____
- (iii) 計劃預計完成時間
Expected Completion Date: _____
(日/月/年) (Day/Month/Year)

V. 財政預算
Budget

- (i) 申請撥款總額
Total Grant Sought: \$ _____

- (ii) 其他已獲/正申請的贊助
Any other sponsorship sought / being sought
 沒有 有
No Yes

<u>資助來源</u> Source of Fund Applied	<u>已獲得/正申請的資助</u> Amount Received*/Requested	<u>申請進展</u> Progress of Application (如申請已被書面拒絕，請附上證明文件 Please attach reject letter as documentary support of the application is unsuccessful)
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VI. 計劃詳情 Project Details

(i) Category <u>類別</u>	Amount requested \$ <u>申請款額</u> 元	Attachment (please tick where appropriate) <u>附件</u> (請在適當方格內加✓號)		
Authorised Person / Consultant for Preparatory Work 就前期準備工作聘請認可 人士／顧問	()	Annex 附件A	A	<input type="checkbox"/>
Authorised Person / Consultant for Technical Feasibility Study (TFS) 就技術可行性研究聘請認 可人士／顧問	()			
Authorised Person / Consultant for Design and Construction 就設計及建造階段聘請認 可人士／顧問	()			
Works Items for Construction / Fitting out / Renovation / Conversion / Expansion / Reprovisioning / Improvement 建築 / 裝修 / 翻新 / 改建 / 重 建及改善工程等工程項目	()			
Furniture & Equipment 家具及設備	()	Annex 附件B	B	<input type="checkbox"/>
Others (please specify) 其他 (請註明)	()			
Total : 總款額 :	()			

(ii) 就本申請所提交的補充資料:

Supplementary information attached to this application:

- Project Brief, Project Estimates and Tender Documents
(for Engaging Authorised Persons / Consultants)
計劃說明書、計劃預算及就聘請認可人士／顧問的招標文件
- TFS Report
技術可行性研究報告

VII. 政府部門的批准情況

Approval from Government Departments

(i) 請說明中心所涉及土地的使用權類別 (例如政府土地牌照，批地契約、短期租約或短期豁免書等)及有關屆滿日期。

Please state the land status of the centre (e.g. Government Land Licence, Land Lease, Short Term Tenancy or Short Term Waiver, etc.) and the expiry dates for its use.

(ii) 請說明擬進行工程是否已獲政府批准 (包括契約修訂，根據牌照或契約條款所發出的批准書，及根據建築物條例 (新界適用) 條例【香港法例第一二一章】所發出的豁免證明書或根據建築物條例【香港法例第一二三章】對有關建築圖則的核准等，及符合土地的規劃用途。)

Please state whether necessary approvals have been obtained from the Government for the proposed building works (including lease modification, approval under license or lease conditions and Certificates of Exemption under the Buildings Ordinance (Application to the New Territories) Ordinance, Cap. 121, or approval of plans under the Buildings Ordinance, Cap. 123 and, compliance with land use zoning).

地政總署 Lands Department

- 已獲地政總署批准(請提供證明文件)
approved by Lands Department(*please provide supporting documents*)
- 已向地政總署申請,但仍待審批(請提供證明文件)
have applied to Lands Department and the approval is awaited (*please provide supporting documents*)
- 無需向地政總署申請
approval from Lands Department not required

屋宇署 Buildings Department

- 已獲屋宇署批准(請提供證明文件)
approved by Buildings Department (please provide supporting documents)
- 已向屋宇署申請,但仍待審批(請提供證明文件)
approval from Buildings Department is awaited (please provide supporting documents)
- 無需向屋宇署申請
approval from Buildings Department not required

申請其他部門批准情況(請另頁書寫詳情)

Progress in obtaining approvals from other Government departments
(please provide details on separate sheets)

- (iii) 請說明是否備有證明文件，例如承建商或建築商所提供的報價單、圖則草圖和照片。

Please state whether supporting documents such as quotations from contractors/architects, plans, sketch maps and photographs are available.

- 否
No
- 是 (請註明文件類別及夾附有關文件)
Yes (Please specify the types of documents available and enclose them)
-
-

- (iv) 如計劃涉及興建新的建築物，請說明建築圖則是否已獲有關當局批准。

If the proposed project involves construction of new buildings, please state whether the relevant building plans have been approved by the authorities concerned.

- 否
No
- 是 (請夾附證明文件)
Yes (Please enclose supporting documents)
- 不適用
Not applicable
-

Details of the Application for Works Items for
Construction/ Fitting-out/ Renovation/ Conversion/ Expansion/ Reprovisioning/ Improvement
申請建築/ 裝修/ 翻新/ 改建/ 擴建/ 重建及改善工程等工程項目撥款詳情

Item No. 項目	Details of Items Requested 工程項目詳情	Quantity 數量	Unit 單位	Unit Rate 單價	Amount (\$) 款額 (元)	Remarks 備註
1.	Works Items (please provide details under each item) 工程項目類別 (請提供各項目的細節)					
1.1	Concrete Works 混凝土工程					
1.2	Brickwork & Blockwork 磚工					
1.3	Carpentry & Joinery (including Ironmongery) 木工及細木工 (包括木工五金配件)					
1.4	Metal Works 鐵器工程					
1.5	Plastering & Finishes 水泥批盪及飾面工程					
1.6	Plumbing & Drainage 供水及排污裝置工程					
1.7	Glazing 玻璃裝嵌工程					
1.8	Painting 油漆及塗飾工程					
1.9	Electrical Works 電氣設備裝置工程					
1.10	Heating, Ventilation & Air-conditioning Works 暖氣, 通風與空氣調節設備裝置工程					
1.11	Fire Services Installation 消防設備裝置工程					
1.12	Gas Installation 氣體設備裝置工程					
1.13	Others (please specify) 其他 (請註明)					
				Sub-total 分項1 合計款額		

2.	Professional Fees and Related Contractual Charges 顧問及有關工程合約支出		
2.1	Preliminaries 基本工程合約條款支出 / 初步費用		
2.2	Professional Fees 認可人士 / 專業顧問費		
		Sub-total 分項2 合計款額	
		GRAND TOTAL 總款額	

Note: Please provide prerequisite documents (e.g. approval of the landlord/ authority concerned, recommendation from Government departments concerned, sketches, drawings, layout plans, installation plans, quotations, specifying material & works method, etc.).

註: 請提交各項施工所需的文件以供審閱 (文件包括有關業主 / 授權機構的核准書, 有關政府部門的推薦信, 工程草圖, 圖則, 分佈圖, 裝備系統圖, 報價單, 指定物料, 施工方法等)

**Breakdown and Details
for Furniture and Equipment Items**
家具及設備項目
明細表及詳細資料

Item No. 項目編號	Detail of Items and Locations 項目詳情及位置	Quantity and Unit 數量及單位	Unit Rate 單價	Amount (\$) 款額(元)	Justification (please state whether it is a new item, replacement or improvement item; and with elaboration) 理據 (請說明該項目是新項目，更新或改善項目；並加以詳述)
Total 總款額					

聲明 Declaration

我們謹此證明：據我們所知，申請表內所填報的資料均正確無訛。我們已細閱並完全明白及確認以下聲明：

1. 我們同意，如擬議計劃獲禁毒基金會批准，表格內所提供的資料對申請機構具有約束力。
2. 我們保證，如日後我們向其他資金來源申請資助同一計劃，定必通知禁毒基金會秘書處。
3. 我們承諾當運用禁毒基金藥物倚賴者治療康復中心特別撥款計劃的撥款進行採購時，會考慮到我們維護國家安全的持續性責任，不得從事任何行為或活動從而危害國家安全。在採購過程中的每一階段評估可能涉及的任何潛在國家安全風險或問題時，我們和我們的管理層會保持審慎和敏感，並行使專業判斷。我們明白在維護國家安全方面的責任具持續性，並持續在整個採購過程中的每一階段，包括採納合約前的階段及採納合約後的合約管理階段。我們會確保我們的每一份採購文件中包含具體條款，以列明根據國家安全利益而取消投標者資格和終止就採購而訂立的合約。
4. 我們知悉並確認，如出現以下任何情況，禁毒基金會可立即終止項目：
 - (a) 獲撥款機構或其以任何方式和身份參與項目的僱員、代理人或承辦商曾經或正在從事任何構成或導致，或任何可能構成或導致危害國家安全的罪行，或其他不利於國家安全利益的行為或活動；
 - (b) 繼續委託獲撥款機構或繼續推行項目將不利於國家安全利益；或
 - (c) 禁毒基金會合理相信以上(a)或(b)項所述的任何情況即將出現。
5. 我們確認禁毒基金會可在發現不當或違反撥款條款及條件的情況下，隨時更改撥款金額或撤回部分或全部撥款。
6. 我們已細閱有關收集個人資料聲明。
7. 我們在切實可行範圍內，會遵循廉政公署不時發布的「非政府機構管治與內部監控 - 防貪錦囊」。

We hereby declare that: the information given in this Application Form is correct according to the best of our knowledge. We have read and fully understood and acknowledged the following declarations:

1. We agree that the information provided in this application would be binding on the applicant if the proposed project is approved by the Beat Drugs Fund Association (BDFA).
2. We undertake to inform the BDFA Secretariat if, subsequent to this application, we apply for funds from other sources for the same project.
3. In conducting procurement by using the grant from the Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres, we undertake to take into account our continuing duty to safeguard national security and not to engage in any acts or activities which endanger national security. We and our management would exercise professional judgment, sensitivity and prudence in assessing any potential national security risks or issues that may be involved in every stage of the procurement. We understand that the duty

to safeguard national security is a continuing one that subsists throughout every stage of the entire procurement process, including the pre-contract award stage and the contract management stage after contract award. We would ensure that each of our procurement documents incorporates specific clauses to disqualify tenderers and to terminate the contract made pursuant to the procurement in the interest of national security.

4. We understand and acknowledge that, BDFA may immediately terminate the project upon the occurrence of any of the following events –
 - (a) the grantee or any of its employees, agents and sub-contractors who are involved in any manner and capacity in the project has engaged or is engaging in any act or activity that constitutes or causes, or is likely to constitute or cause, the occurrence of offences endangering national security or that would otherwise be contrary to the interest of national security;
 - (b) the continued engagement of the grantee or the continued implementation of the project is contrary to the interest of national security; or
 - (c) BDFA reasonably believes that any of the events mentioned in item (a) or (b) above is about to occur.
5. We acknowledge that BDFA may modify the amount of grant or withdraw parts of or the full amount of the grant at any time if irregularities or contraventions of the terms and conditions of grant are found.
6. We have read the Personal Information Collection Statement.
7. We will follow, as far as practicable, “Best Practice Checklist – Governance and Internal Control in NGOs” published by the Independent Commission Against Corruption.

申請機構負責人
簽署
Signature of
responsible person
of applicant :

申請機構負責人
職銜
Post title of
responsible person
of applicant :

申請機構負責人
姓名
Name of responsible
person of applicant :

申請機構負責人
香港身份證號碼
HKID Card No. of
responsible person
of applicant :

申請機構負責人
電郵地址
E-mail address of
responsible person
of applicant :

日期
Date :

機構蓋章
Official Chop

*刪去不適用者
Delete where appropriate

有關收集個人資料聲明
Personal Information Collection Statement

收集目的
Purpose of Collection

1. 這份表格內填報的個人資料，是供禁毒基金會及其秘書處，用來審批此項撥款計劃之用。

The personal data provided in relation to this application will be used by the Beat Drugs Fund Association and its Secretariat for the purpose of assessing applications to this Funding Scheme.

2. 在這份表格填報個人資料純屬自願性質。如未能提供某些資料，可能會影響申請書的評審。

The provision of personal data in relation to this application is voluntary. However, please note that the absence of certain information may affect the assessment of the application.

披露資料
Classes of Transferees

3. 為了審批此項撥款計劃的申請，禁毒基金會可能會把這份表格所載的個人資料，向禁毒處、其他政府部門、外聘評審員、監察員，及其他有關人士披露。

The personal data you provide by means of this application may be disclosed by the Beat Drugs Fund Association to the Narcotics Division, other Government departments, external reviewers, monitoring members of the projects and other people concerned.

查閱個人資料
Access to Personal Data

4. 根據《個人資料(私隱)條例》第18及22條，以及附表1第6原則的規定，填報這份表格的人士有權查閱和更正提供的個人資料，包括索取表格內有關的個人資料部分的副本。

You have a right of access and correction with respect to personal data as provided for in sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance. Your right of access includes the right to obtain a copy of your personal data provided in this application.

查詢
Enquiries

5. 如對這份表格填報的個人資料有任何查詢，包括查閱及更正，請聯絡：

香港金鐘道66號
金鐘道政府合署高座30樓
禁毒基金會秘書處

電話: 2867 2763

傳真: 2810 1790

網址: <http://www.info.gov.hk/nd/>

電郵地址: sbenq@sb.gov.hk

Enquiries concerning the personal data collected by means of this application, including access and corrections should be addressed to :

The Beat Drugs Fund Association
c/o Narcotics Division
30/F, High Block
Queensway Government Offices
66 Queensway
Hong Kong

Tel: 2867 2763

Fax: 2810 1790

Website : <http://www.info.gov.hk/nd/>

E-mail address: sbenq@sb.gov.hk

Procedures on the Handling of Written Quotations

Written quotations should be addressed personally to a senior staff member of the grantee in sealed envelopes marked with “Quotation” and the name of the project.

2. There should be adequate security measures to prevent possible leakage or tempering of written quotations which should be kept under lock and key as soon as they are received. The key should be kept by a designated officer of an appropriate level of the grantee.
3. If a fax machine is used for receiving quotations, it should be placed next to the staff member of the grantee responsible for their safe keeping in an area which is not readily accessible to other staff of the grantee. Measures on access control to the fax machine should also be put in place to prevent possible leakage of quotation information prior to the closing date.
4. If quotations are obtained through e-mail, a dedicated computer terminal with adequate security control and restricted access to the authorised staff member only should be used. Measures on access control to the e-mail account should also be put in place to prevent possible leakage of quotation information prior to the closing date.
5. Quotations should be opened at the same time and witnessed. They would then be date-stamped and initialled.
6. Any alterations found on opening should be noted and initialled against.
7. Late quotations should not be considered unless the postmark on the envelopes shows that they were posted before the closing date.
8. As soon as quotations have been opened, a summary should be prepared listing all suppliers invited and their quotations (or “declines to quote”), and signed by officers present at the opening of the quotations.

Tendering Procedures for Works Projects

Preparation of Tender Documents

In preparing the tender documents¹, the grantee should ensure the following:

- (a) all approved requirements for the project should be made known to the project consultant before the preparation of tender documents;
- (b) the list and number of tenderers invited or to be invited should be kept strictly confidential at all times until the award of tender;
- (c) the tender documents must contain all works which have been approved by BDFA / the BDFA Secretariat and any non-subsidised portions should be clearly and separately shown in the tender documents and tender summary;
- (d) tenders must be strictly comparable and must include all sums for works to be executed by nominated sub-contractors;
- (e) for building projects, the contractor should be required to provide a suitable security bond to cover loss and compensation in the event of non-completion of the contract. The sums of nominated sub-contracts and provision for contingency should also be clearly stated in the tender documents.

2. The grantee is reminded that any deviation from the above requirements may result in delays and additional costs to the project. It is therefore essential that comprehensive and accurate tender documents are presented and that the tendering procedures are followed scrupulously to avoid re-submission of documents for approval or re-tendering.

¹ The grantee may make reference to the sample tender documents provided in the Lotteries Fund Manual issued by SWD when preparing the tender documents.

Approval of Tender Documents

3. Before tenders are invited, all tender documents, the list of proposed contractors and the invitation letter should be forwarded under restricted cover to the BDFA Secretariat, copied to ArchSD (Attention: Chief Technical Adviser (Subvented Projects)), for formal approval. A copy of the approval letter for the SFS grant should also be enclosed for ease of reference.

4. Tender documents for projects costing above \$1 million should be prepared within 6 months after the approval of the SFS grant. Any additional cost due to inflation attributable to delay in the submission of tender documents will be the grantee's responsibility. Tenders may only be invited after the documents have been approved or duly amended in accordance with the advice of ArchSD.

Invitation to Tender

5. A record of the contractors invited to tender should be kept by the grantee or its consultant. The grantee and its consultant must satisfy themselves that the contractors invited to tender are technically competent and financially capable of carrying out the projects in accordance with the contract conditions.

6. Invitation letters may be in English or Chinese and should normally be sent by registered mail. A copy should be sent to the Secretary, Public Works Tender Board for information. If the tender invitation is collected by the tenderer, a receipt should be signed and kept as a record.

Submission of Tender

7. Tenders should be submitted in a sealed plain envelope clearly marked on the outside with "Tender for Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres" and the name of the project. They should be deposited in the tender box of the Public Works Tender Board located at ArchSD, Room 503, 5/F, Low Block, Queensway Government Offices, 66 Queensway, Hong Kong. Prior to issuing the invitation letter, the grantee should contact the Secretary to the Public Works Tender Board Registry (Tel. 2867 4021) to check the closing date of the Tender Box, which is normally every Friday at noon. Late tenders will not be accepted. It should be noted that

any tender returned to other addresses e.g. the grantee's or the consultant's office, will be considered invalid. After the tender list has been recorded, the consultant will be notified to collect the tenders from the Public Works Tender Board. This would normally be on the Monday or Tuesday following the tender opening day.

Supervision of Tender Procedure

8. The grantee is advised to assign a senior staff member to oversee the tendering procedure and ensure that it is properly conducted. Special attention is drawn to the need to avoid corruption opportunities. Proper record must be kept of the approved tender documents and the list of contractors invited to tender together with evidence that the tender invitation has been sent.

Selection of Tenders

9. The project consultant if appointed should submit to the grantee, within one month of receipt of tenders, a recommendation with fully supported report and comparative analysis and, where applicable, apportionment of cost for the 'non-subsidised' provision, including any provisions which are above the approved standard. A copy of the report and other relevant supporting documents should be sent to the BDFSA Secretariat, copied to ArchSD for comment. If no project consultant is appointed, the grantee should prepare a report to the best of their abilities in accordance with the requirements of paragraph 10 below.

10. Specifically, the tender report should include the following information:

- (a) date and closing time for the receipt of tenders;
- (b) list of tenders received before the closing time showing the name of each tenderer, the tender amount in ascending order and tender validity period;
- (c) list of contractors who have not submitted tenders together with any letters declining the tender invitation;

- (d) copies of any correspondence with tenderers after receipt of tenders;
- (e) the tender recommended to be accepted;

together with a tender analysis report which should give –

- (a) confirmation of arithmetical check on all tenders, indicating all corrections in red;
- (b) a comparison of the make-up sections / unit prices of the lowest three or four tenders;
- (c) highlight on any extra-ordinary high or low rate and manifest errors in quantity;
- (d) comparison of the recommended tender with the approved estimated cost.

Approval of Tenders

11. The grantee will be informed of the approved tender and if the tender includes items of unnecessary works or above-standard finishes, the amount of grant will be adjusted accordingly. If the lowest tender exceeds the approved estimate and if a supplementary grant is required, an application should accompany the tender analysis report. Approval for supplementary grants must not be assumed. Any financial commitment accepted without the approval of the BDFA Secretariat will be the sole responsibility of the grantee.

Signing of Contract Documents

12. Contracts must not be entered into until official approval has been received from the BDFA Secretariat, following the recommendation of ArchSD. Any financial commitment accepted without the approval of the BDFA Secretariat shall be the sole responsibility of the grantee. After signing the contract, a certified true copy of the priced contract document (including drawings) between the grantee and the contractor should be submitted to the BDFA Secretariat for record.

Tendering Procedures for Furniture and Equipment and Hire of Services

The grantee should arrange for a double-locked tender box at their headquarters with the key held by separate staff members of an appropriate level. Tenders¹ submitted by contractors should be in a sealed plain envelope clearly marked on the outside with “Tender for Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres Project” and the name of the project. If tenders are sent to the grantee by registered mail, the grantee should immediately upon receipt of the tenders, place them in the locked tender box. If they are delivered by hand, the persons delivering the tenders should place them in the locked tender box and the grantee should acknowledge receipt of the tenders. The tender box should only be opened at specified time by a tender opening team comprising at least two staff members nominated by the Board of Directors, one of whom will serve as a witness. Staff members holding the keys of the tender box should not be assigned with the duties of the tender opening team at the same time.

2. The following steps should be taken in the opening of tenders:
 - (a) Shortly before the tender opening time, the officer supervising the tender exercise and key holders of the tender box assemble at the office where the tender box is to be opened.
 - (b) The key holders jointly open the tender box which is then locked after the tenders have been taken out.
 - (c) Tender covers due for opening are then opened and the supervising officer should ensure that –
 - (i) tenders are submitted in duplicate;
 - (ii) particulars on the original and duplicate copies are identical;
 - (iii) alterations and amendments made on the tender documents are circled and initialled in red;
 - (iv) where samples accompany the tender, they are sealed and if possible stapled to the tender documents concerned; a sample listing sheet is also prepared;

¹ The grantee may make reference to the sample tender documents provided in the Lotteries Fund Manual issued by SWD when preparing the tender documents.

- (v) all tender documents and continuation sheets are date stamped and initialled;
 - (vi) tender documents returned without offer are chopped “No offer”.
- (d) Name of tenderers and their prices (including ‘No offer’) are then recorded in a tender listing sheet.
- (e) The tender and sample listing sheets are signed by the officers present.
- (f) The supervising officer should examine the tenders to ascertain that the listing sheets have been correctly prepared and should sign against them. After checking, the duplicate copies of the tenders and listing sheets are locked away. The original copies are then used for evaluation.
- (g) If more than one tender exercise is held simultaneously using the same tender box, tenders to be opened at a later date but found at tender opening should not be opened. They should be recorded on a separate list and put back into the tender box.
- (h) Late tenders should not be accepted. They should be date-stamped and initialled by the supervising officer.

3. After the tenders are opened and analyzed, the tenders together with a price comparison table² should be submitted to the approving authority for consideration and acceptance.

² The grantee may make reference to the sample price comparison table provided in the Lotteries Fund Manual issued by SWD when preparing the tender documents.

Normal Duties of Authorised Persons / Consultants

Authorised Persons / Consultants are responsible for –

- (a) carrying out a thorough inspection of the site and / or premises, drawing up tender specifications and drawings on the instruction of the grantee and the requirements of the BDFA Secretariat, if any, and ensuring that tender documents are in order and have been appropriately revised in the light of comments made by the BDFA Secretariat / ArchSD, where appropriate. The consultant should first clear the sketch plans with the BDFA Secretariat (where applicable), before submitting general building plans to ArchSD and / or the Buildings Department. It should also be noted that at an early stage, the Director of Fire Services should be consulted on the fire services requirements of a project. All works prepared by the consultant should be in accordance with the latest edition of the “General Specification for Building” published by ArchSD and in compliance with all relevant statutory requirements with approval from the relevant authorities;
- (b) drawing up a proposed list of tenderers and submitting under confidential cover to the grantee or its tender board (if one is appointed) for approval;
- (c) inviting and collecting tenders and examining all tenders and submitting a full report and recommendation to the grantee and the BDFA Secretariat and copied to ArchSD (where necessary);
- (d) seeking any necessary clarification or confirmation with the recommended tenderers on his tender submission;
- (e) supervising the execution of the work and ensuring that –
 - (i) the contractor has provided security bond and executed Insurance Policies as required in the contract;
 - (ii) precautionary measures to safeguard the occupants are adequate if the works are carried out whilst DTRC services are being provided;

- (iii) alteration and improvement works carried out fully comply with the terms of the contract and statutory requirements;
 - (iv) necessary drawings are provided on time;
 - (v) all sub-contract works are tendered in good time under the approved programme; and
 - (vi) any necessary extension of the contract period is processed strictly in accordance with the contract and prolongation claim is avoided as far as possible. Subsidy of any such claim(s) or any professional fees thereon will only be given upon satisfactory explanation and without any default of the grantee and its consultants.
- (f) certifying interim payment claims from the contractor, subject to a minimum of \$20,000 or as stated in the contract for large projects and not more than one payment per month. If the work does not take longer than one month there would normally be no interim payments until the project is completed;
- (g) issuing all necessary certificates concerning the project such as those relating to interim and final payment, completion of the project and future maintenance, etc. If the contract is not completed within the specified contract period, liquidated and ascertained damage has to be deducted. In all cases, retention money should be retained in accordance with the contract conditions;
- (h) issuing variation orders for works within the scope of the funding approval. The BDFA Secretariat should be consulted, where applicable, on any departure from the approved scope and quality of work as defined in the tender documents. A detailed final account must be agreed with the contractor and submitted to the grantee within the period stipulated in the contract for onward submission (where required) to the BDFA Secretariat and ArchSD. A list of documents required for vetting final accounts of works projects is listed at *Annex 6.4.1*. The proforma as shown at *Annex 6.4.2* has to be completed as well. Where the fitting out project is funded,

an undertaking that all the requirements of the BDFA Secretariat have been met should accompany the final account;

- (i) ensuring that variation re-measurement has been completed and “provisional” works have been measured and valued properly and accurately; and
- (j) apportioning the costs of the works and professional fees to be paid out of the SFS grant for approval of the BDFA Secretariat upon comment from ArchSD, where necessary, if non-subvented works have been included in the project.

**List of Documents Required for
Vetting Final Accounts of Works Projects**

1. Certified true copies of the contract, including the nominated subcontracts, if applicable.
2. Contract drawings.
3. Statement of final account prepared and certified by the Authorised Person concerned.
4. Summary of the variation orders with description of and explanation on the need for each variation item, copies of the Authorised Person's variation orders and relevant quotations from contractors.
5. Account of SFS supported cost and non-subsidised cost (for original contact sum and variation account).
6. Date of contract commencement.
7. Certificate of Practical Completion / Certificate of Substantial Completion.
8. Letter stating the extension of contract period, if applicable, and the contract conditions under which the extension is granted.
9. Account of financial claim.
10. Summary of lithography charges (a breakdown in terms of quantities and unit rates with supporting receipts should be provided).

Note

- (A) For items (3) to (10), please provide two copies so that ArchSD and the BDFa Secretariat could each retain one set.
- (B) **No piecemeal submission will be accepted.** Checking of final account would commence only when full set of required documents is available.

Annex 6.4.2
(P. 1)

(Project Title) _____

Full Address of Project : _____

FINAL ACCOUNT

CERTIFICATE NO. _____

Contractor _____

Amount of Contract		\$	
	<u>Omissions</u>	<u>Additions</u>	
	\$	\$	
Omit : Provisional Sum for Contingencies			
<u>Add</u> : Net adjustment of variations as attached			
<u>Deduct</u> : Net adjustment of variations as attached			
Summary			
<u>Add</u> : Net adjustment of P.C. and Provisional			
<u>Deduct</u> : Net adjustment of P.C. and Provisional			
Sums as attached Summary			
Total Additions			
Less : Total Omissions			
Net Omission / Addition			
FINAL CONTRACT SUM			
Deduct Retention Money (%)			
Deduct Previous Payments made by Interim			
Certificates No. to			
Deduct Total Omissions			
Amount Due on this Final Certificate		\$	

Certified that the work has been completed satisfactorily and that the above Final Statement is arithmetically and contractually correct.

*Project Consultant / the grantee's Chairman / Designated Person : _____ Name of Bank : _____

Name of the grantee : _____

Contact Person : _____ Tel. No. : _____

Official Chop of the grantee : _____ Date : _____

(* Delete as appropriate)

(Project Title)

SUMMARY OF VARIATIONS

Contractor : _____

Site Instruction Nos. _____ to _____
have been issued. Those not enumerated below do not
involve variations of work resulting in financial
adjustments to the contract sum.

Variation Order No.	Brief Description of Variation	Omissions		Additions	
		\$	\$	\$	\$
Less Amount of Omissions / Additions					
Net Omissions / Additions carried to FINAL ACCOUNT _____					

Annex 6.4.2
(P. 3)

(Project Title)

Summary of Adjustment of Prime Cost & Provisional Sum
(other than Provisional Sum for Contingencies)

	Omission		Additions	
	\$	\$	\$	\$
\$				
Less Amount of Omissions / Additions	\$			
Net Additions / Omissions Carried to Final Account	\$			

Points to Note in the Selection of Authorised Persons / Consultants

When selecting an Authorised Person (AP) / Consultant, the following should be considered:

- (a) whether the AP / Consultant is professionally registered and legally qualified to undertake the works;
- (b) whether he / she is on the approved list of consultants maintained by relevant Government Department (not mandatory but limits risk);
- (c) his / her past experience in similar DTRC or welfare projects;
- (d) whether he / she is familiar with government tender procedures and other related requirements;
- (e) his / her ability to present a fully detailed estimate and tender specifications; and
- (f) the availability of competent supervisory personnel throughout the project.

To: Beat Drugs Fund Association Secretariat

**Beat Drugs Fund Special Funding Scheme
for Drug Dependent Persons Treatment and Rehabilitation Centres
Claim Certificate**

Please read the notes on page 2 carefully before completing the form

Name of the grantee : _____

File Ref. and Date of Approval of the Grant : _____

Description of Grant : _____

(As stated in the caption of the letter of approval)

Project Code : _____

In relation to our claim \$ _____ for payments under the Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres for the items listed in the * Form I (For works projects with Authorised Person) / Form II (For consultancy fees, furniture & equipment, works projects without Authorised Person and other claims) attached, I am authorised by the organisation to certify that

- * (1) the items currently claimed under Form I –
- (a) have not been claimed previously and settled by the Beat Drugs Fund Special Funding Scheme for Drug Dependent Persons Treatment and Rehabilitation Centres (SFS); and
 - (b) are in accordance with the contract entered according to the guidelines on procurement as contained in the Manual of SFS.
- * (2) the items currently claimed under Form II –
- (a) have been received in good conditions;
 - (b) are in accordance with approved specifications and drawings;
 - (c) have not been claimed previously and settled by SFS;
 - (d) have been checked to be correct against the supporting invoices and receipts in respect of the quantities, unit prices and amounts; and
 - (e) have been purchased by quotation or tender in accordance with the guidelines as contained in the Manual of SFS.

(*Delete as appropriate)
(Rev 2023/12)

- (3) * full receipts have been attached / the claim for those items under Form I or Form II not yet supported by receipts but with invoices is made with the justifications given below and such receipts will be submitted as soon as possible and in any case within 1 month after receipt of payment from SFS.

Justifications : _____

- (4) the unclaimed balance (net of the current claim) of the grant amounting to \$ _____ * can be reverted to SFS / is still required for further payment.

Signature : _____ Name & Position: _____

Official Chop of the grantee: _____ Date: _____

Notes

1. Each claim must be covered by a separate Claim Certificate.
2. The correct Project Code as advised by the BDFA Secretariat must be quoted to identify the particular grant against which the current claim is being made.
3. All forms, invoices / receipts, certificates etc. should be submitted in duplicate.
4. Failure to complete the claim forms properly may cause delay in reimbursement.

(*Delete as appropriate)
(Rev 2023/12)

**Beat Drugs Fund Special Funding Scheme
for Drug Dependent Persons Treatment and Rehabilitation Centres
Certificate of Payment – Form I (Note 1)
(For works project with Authorised Person)**

Name of the grantee : _____

File Ref. & Date of Approval of the Tender / Quotation (Note 2) : _____

Project Code : _____

Name of Works Contractor : _____

Amount of Total Grant Approved : _____

Amount of Approved Tender Sum for Works : _____

Extra Work of Variations (if any) \$ (+) _____

(-) _____

Adjusted Contract Sum : _____

Current Payment Certificate No. (if any) : _____

Date : _____

Amount :

Other Payments to be Taken into Account (if any) _____ :-

Total Amount Certified To Date :-

DEDUCT Retention money :- (per cent)

()

DEDUCT (1) Payment on Previous Certificate

Payment Certificate No. _____

Payment Certificate No. _____

Payment Certificate No. _____

Payment Certificate No. _____

Payment Certificate No. _____

Payment Certificate No. _____

Payment Certificate No. _____

(2) Amount of Recipient's / Borrower's
Contribution (if any)

\$	
	()
Amount now claimed \$	()

Notes:

1. This form must be accompanied by the AP's certificate of progress. One original plus one copy of each document should be attached.
2. Where approval of tender / quotation by the BDFSA Secretariat is required, the file reference in respect of the approval of tender / quotation should be stated.

**Beat Drugs Fund Special Funding Scheme
for Drug Dependent Persons Treatment and Rehabilitation Centres
Certificate of Payment – Form II**
(For consultancy fees, furniture & equipment,
works project without Authorised Person, and other claims)

Name of the grantee : _____

Description of Grant : _____

Project Code : _____

Part A – Details of Previous Valid Claims

File Reference of Previous Notification(s) of Payment (Note 1)	Date	Amount Released \$
		(A):

Part B – Details of Current Claims

Attachment No. (Note 2)	Description of Items and Quantity (Note 3)	Amount Claimed \$
(B):		
Total Claims Up-to-date (A) + (B) :		

Notes:

1. Details of the items which have been claimed previously are not required.
2. Please assign sequential reference number to each supporting invoice / receipt. The number should be marked on the top right hand corner of each invoice / receipt.
3. (a) For works project, please enter the item (and its number) claimed as described in the approval list of the BDFA Secretariat’s approval letter. Alternatively, details of current claims can also be provided by a photocopy of the said approval list modified to include information required in Part B here.

(b) For claims of furniture and equipment and others, please fill in the description of the invoice / receipt.
4. One original plus one copy of invoice / receipt should be attached.

**Normal Time Frames for Completion of Projects
And Submission of Payment Claims**

I. Works Projects

A project not exceeding \$500,000 should be completed within four months after the approval of the grant. The final payment claims and the final contract account (for projects with Authorised Persons), excluding retention money, should be submitted not later than three months after the expiry of the defects liability period (DLP).

2. Projects exceeding \$500,000 should normally adhere to the following time frames upon receipt of notification from BDFa on the approval of the grant for the relevant works stages :

Total Project cost	Exceeding \$500,000 but not exceeding \$1,000,000	Exceeding \$1,000,000 but not exceeding \$5,000,000	Exceeding \$5,000,000
Preparatory work stage (Submission of draft project brief and tender documents for the AP for subsequent stages)	3 months		
TFS stage (Submission of draft TFS report)	3 months		
Detailed design and construction stages (Submission of draft tender / quotation documents for the works)	4 months	6 months	6 months
Completion of project after commencement of works	6 months	12 months	12 months
After expiry of DLP (Submission of final payment claim and final contract account)	3 months		

II. Purchase of Furniture and Equipment

		Completion of Purchase	Submission of Claims
1.	For a single contract value not exceeding \$1,000,000	2 months	} 1 month after completion of purchase
2.	For a single contract value exceeding \$1,000,000 but not exceeding \$3,000,000	3 months	
3.	For a single contract value exceeding \$3,000,000	6 months	

Versions

First Edition – May 2011

Second Edition – August 2016

Third Edition – December 2023